



A **TATA** Enterprise

21<sup>st</sup> April 2023

BSE Limited  
Corporate Relationship Dept  
1<sup>st</sup> Floor, New Trading Ring,  
Rotunda Building,  
P.J. Towers, Fort,  
Mumbai – 400 001

National Stock Exchange of India Limited  
5<sup>th</sup> floor, Exchange Plaza, Plot No.C-1  
Block “G” Bandra Kurla Complex  
Bandra (East)  
Mumbai – 400 051

BSE Code : 504112

NSE Code : Nelco EQ

Dear Sir,

Sub: Compliance Certificate under the Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 {Listing Regulations”}

As required under the above-mentioned Compliance Certificate, we are enclosing a certificate dated 21<sup>st</sup> April 2023 issued by Bhandari & Associates, Company Secretaries for the period 1<sup>st</sup> April 2022 to 31<sup>st</sup> March 2023 for your information and record.

Thanking you.

Yours faithfully  
NELCO LIMITED

Girish V. Kirkinde  
Company Secretary & Head - Legal

Encl: As above.

**B&A**  
**BHANDARI & ASSOCIATES**  
COMPANY SECRETARIES

April 21, 2023

**The Company Secretary**  
**NELCO Limited**  
MIDC Plot No. EL6,  
TTC Industrial Area,  
Electronics Zone,  
Mahape, Navi Mumbai-400710

**Sub: Compliance Certificate under the Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [“Listing Regulations”]**

We have examined the relevant books and records in respect of “**NELCO LIMITED**” (‘the Company’) maintained by its Registrar & Share Transfer Agent (RTA) “**TSR CONSULTANTS PRIVATE LIMITED**” (formerly known as “**T S R DARASHAW CONSULTANTS PRIVATE LIMITED**”) and produced before us, in respect of application for registration of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies issued by it for the purpose of issuing the certificate under sub-regulation 9 of Regulation 40 of Listing Regulations with the National Stock Exchange of India Limited & BSE Limited and based on our such examinations as well as information and explanations furnished to us, which to the best of our knowledge and belief were necessary for the purpose of the certification, we hereby certify that during the period from **April 01, 2022 to March 31, 2023:**

- A. the Company has not received any request relating to the registration of transfer(s);
- B. the Company has issued letter of confirmation in lieu of physical securities certificate in respect of requests received for exchange of duplicate certificates, transmissions and name deletions in accordance with SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 within the stipulated period under the Listing Regulations.

As informed to us, the Company has not received any request for, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the year ended on March 31, 2023.

**For Bhandari & Associates**  
**Company Secretaries**

*Manisha*

Manisha Maheshwari  
**Partner**  
ACS: 30224  
C P No. 11031  
UDIN: A030224E000163764

