

October 13, 2023

To.

The Manager, Listing Department, BSE Limited,

Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai - 400 001.

Tel No.: 22721233

Fax No.: 22723719/ 22723121/ 22722037/

22722041/ 22722061 BSE Scrip Code: 540776 To,

The Manager, Listing Department,

The National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot C/1, G Block,

Bandra - Kurla Complex, Bandra (E), Mumbai - 400 051.

Tel No.: 2659 8235

Fax No.: 26598237/ 26598238

NSE Symbol: 5PAISA

Dear Sir/Madam,

<u>Subject:- Certificate under Regulation 74(5) of the Securities and Exchange Board of India</u> (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2023:

Pursuant to the Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, as amended from time to time, please find enclosed herewith certificates received from M/s. Link Intime India Private Limited, Registrar & Share Transfer Agent (RTA) for the quarter ended September 30, 2023.

We request you to take the same on record.

Thanking You, Yours faithfully,

For 5paisa Capital Limited

Namita Godbole

Company Secretary & Compliance Officer

ICSI Membership No.: A21056 Email id: csteam@5paisa.com



Link Intime India Pvt. Ltd. CIN: U67190MH1999PTC118368

C-101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai - 400 083.

Tel.: +91 22 4918 6000 Fax: +91 22 4918 6060

E-mail: mumbai@linkintime.co.in Website: www.linkintime.co.in

Date: - 05th October 2023

To,
Company Secretary
5Paisa Capital Limited
Plot No. B - 23, IIFL House, Road no 16 V,
Sun Infotech Park, MIDC Thane Industrial Area Wagle Estate,
Thane - 400604

Dear Sir,

<u>Subject: Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.</u>

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th September 2023, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Note:- There were no request received from the shareholders for the quarter ended September 30, 2023. This certificate is issued on the request of the company for compliance purpose.

Thanking You,

Yours faithfully, For Link Intime India Pvt. Ltd

Sharmila Amin

Associate Vice President-Client Relations