

Registered Office Ideal Centre, 4th Floor, 9, A.J.C. Bose Road, Kolkata - 700 017 T (033) 4063 2393, F (033) 2290 0383 E office@maithanalloys.com W www.maithanalloys.com CIN L27101WB1985PLC039503

January 14, 2021

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The Secretary

The Calcutta Stock Exchange Limited

7, Lyons Range Kolkata - 700 001 Scrip code: 023915 2]

Listing Department

National Stock Exchange of India Ltd.

Exchange Plaza, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051

Scrip code: MAITHANALL-EQ

Sub: Intimation U/r 13(6) of SEBI (Prohibition of Insider Trading) Regulations, 1992.

Dear Sirs,

This is to inform you that we have received the enclosed intimations under Regulation 13(4A) of SEBI (Prohibition of Insider Trading) Regulations, 1992 from Bajranglal Prahlad Rai HUF, relating to their sale of 1,40,550 equity shares of the Company during period May 8, 2015 to May 13, 2015.

In terms of Regulation 13(6) of the SEBI (Prohibition of Insider Trading) Regulations, 1992, we are hereby enclosing for your records, the intimations received by us from Bajranglal Prahlad Rai HUF as they proposes to avail the settlement mechanism under the SEBI (Settlement Proceedings) Regulations, 2018.

Kindly accept the same.

Thanking you,

Yours faithfully,

For Maithan Alloys Limited

Rajesh K Shah

**Company Secretary** 

Encl: As above

Copy to:

The Corporate Relationship Department

**BSE** Limited

Rotunda Building, P.J. Towers,

Dalal Street, Fort,

Mumbai - 400 001

Works: Unit - I : P.O. Kalyaneshwari - 713 369, Dist. Paschim Bardhaman (West Bengal)

Unit - II : E.P.I.P., Byrnihat, Dist. Ri-bhoi - 793 101 (Meghalaya)

Unit - III: Plot No. 42 & 43, APSEZ, P.O. Atchutapuram, Dist. Visakhapatnam - 531 011 (A.P.)

Bajrang Lal Prahlad Rai (HUF) (PAN = ) Salanpur, Dist: Burdwan, P.O. Salanpur, PIN-713357 (F-3, Circular Mansion, 222 AJC Bose Road, Kolkta-700017)	1	Name, PAN No. & Address of <del>Promoter/</del> Person who is part of Promoter Group
1,40,550 Equity shares of Rs 10/- each (0.9656% of paid up capital)	2	No. & % of shares/ voting rights held by the <del>Promoter</del> / Person who is part of Promoter Group
8 <sup>th</sup> May, 2015	3	Date of-receipt of allotment advice/ acquisition/ sale of shares /voting rights
14 <sup>th</sup> January, 2021	4	Date of intimation to company
Market Sale	5	Mode of acquisition (market purchase/ public/rights/preferential offer etc.)/Sale
1,07,090 Equity shares of Rs 10/- each (0.7357% of paid up capital)	6	No. & % of shares/ post acquisition/ voting-rights-/sale
BMA Wealth Creators Limited SEBI Regn. No.: NSE - INB231233132 BSE=INB011233138	7	Trading Member through whom the trade was executed with SEBI Registration No. of the TM
National Stock Exchange of India Limited (NSE) and Bombay Stock Exchange Limited (BSE)	œ	Exchange on which the trade was executed
N.A	9	Buy quantity
N.A	10	Buy value
33,460 Equity shares of Rs 10/- each (14,970 shares sold on NSE and 18,490 shares sold on BSE)	11	Sell quantity
Rs. 66,85,500/- (Sale value Rs. 29,85,010/- on NSE Sale Value Rs. 37,00,490/- BSE)	12	Sell value

# Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992

## Details of change in shareholding or voting rights held by Director or Officer and his Dependents or Promoter or Person who is part of Promoter Group of a listed company [Regulation 13(4), 13(4A) and (6)]



Bajrang Lal Prahlad Rai (HUF) (PAN = ) Salanpur, Dist: Burdwan, P.O. Salanpur, PIN-713357 (F-3, Circular Mansion, 222 AJC Bose Road, Kolkta-700017)	1	Name, PAN No. & Address of <del>Promoter/</del> Person who is part of Promoter Group
1,07,090 Equity shares of Rs 10/- each (0.7357% of paid up capital)	2	No. & % of shares/ voting rights held by the <del>Promoter</del> / Person who is part of Promoter Group
11 <sup>th</sup> May, 2015	ω	Date of-receipt-of allotment-advice/ acquisition-/ sale of shares /voting rights
14 <sup>th</sup> January, 2021	4	Date of intimation to company
Market Sale	5	Mode of acquisition (market purchase/ public/rights/preferential offer etc.)/Sale
67,110 Equity shares of Rs 10/- each (0.4611% of paid up capital)	6	No. & % of shares/ post acquisition/ voting rights-/sale
BMA Wealth Creators Limited SEBI Regn. No.: NSE - INB231233132 BSE=INB011233138	7	Trading Member through whom the trade was executed with SEBI Registration No. of the TM
National Stock Exchange of India Limited (NSE) and Bombay Stock Exchange Limited (BSE)	œ	Exchange on which the trade was executed
N.A	9	Buy quantity
N.A	10	Buy value
39,980 Equity shares of Rs 10/- each (19,990 shares sold on NSE and 19,990 shares sold on BSE)	11	Sell quantity
Rs. 79,67,020/- (Sale value Rs. 39,81,010/- on NSE Sale Value Rs. 39,86,010/- BSE)	12	Sell value

## Details of change in shareholding or voting rights held by Director or Officer and his Dependents or Promoter or Person who is part of Promoter Group of a listed company Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992 [Regulation 13(4), 13(4A) and (6)]



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Bajrang Lal Prahlad Rai (HUF) (PAN = ) Salanpur, Dist: Burdwan, P.O. Salanpur, PIN-713357 (F-3, Circular Mansion, 222 AJC Bose Road, Kolkta-700017)	_	Name, PAN No. & Address of <del>Promoter/</del> Person who is part of Promoter Group
67,110 Equity shares of Rs 10/- each (0.4611% of paid up capital)	2	No. & % of shares/ voting rights held by the <del>Promoter</del> / Person who is part of Promoter Group
12 <sup>th</sup> May, 2015	3	Date of-receipt-of allotment_advice/ acquisition-/ sale of shares /voting rights
14 <sup>th</sup> January, 2021	4	Date of intimation to company
Market Sale	5	Mode of acquisition (market purchase/ public/rights/preferential offer etc.)/Sale
32,780 Equity shares of Rs 10/- each (0.2252% of paid up capital)	6	No. & % of shares/ post <del>acquisition</del> / <del>voting rights /</del> sale
BMA Wealth Creators Limited SEBI Regn. No.: NSE - INB231233132 BSE=INB011233138	7	Trading Member through whom the trade was executed with SEBI Registration No. of the TM
National Stock Exchange of India Limited (NSE) and Bombay Stock Exchange Limited (BSE)	8	Exchange on which the trade was executed
N.A	9	Buy quantity
N.A	10	Buy value
34,330 Equity shares of Rs 10/- each (16,830 shares sold on NSE and 17,500 shares sold on BSE)	11	Sell quantity
Rs. 67,17,342/- (Sale value Rs. 32,87,342/- on NSE Sale Value Rs. 34,30,000/- BSE)	12	Sell value

# Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992

#### [Regulation 13(4), 13(4A) and (6)]

Details of change in shareholding or voting rights held by Director or Officer and his Dependents or Promoter or Person who is part of Promoter Group of a

listed company

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#### Name, PAN No. & Bajrang Lal Prahlad Rai (HUF) Address of Promoter/ (PAN = Salanpur, Dist: Burdwan, Person who is part of P.O. Salanpur, PIN-713357 Promoter Group (F-3, Circular Mansion, 222 AJC Bose Road, Kolkta-700017) 32,780 Equity shares of Rs 10/- each No. & % of shares/ (0.2252% of paid up capital) voting rights held by the Promoter/ Person who is part of Promoter Group 13<sup>th</sup> May, 2015 Date of receipt of allotment advice/ acquisition / sale of shares /voting rights 14th January, 2021 Date of intimation to company Mode of acquisition Market Sale (market purchase/ public/rights/preferential offer etc.)/Sale Nil No. & % of shares/ post acquisition/ 0 voting rights /sale Trading Member through **BMA Wealth Creators Limited** SEBI Regn. No.: whom the trade was NSE - INB231233132 executed with SEBI BSE=INB011233138 Registration No. of the TM National Stock Exchange of India Limited Exchange on which the trade was executed (NSE) and Bombay Stock Exchange Limited (BSE) N.A Buy quantity 9 N.A Buy value 32,780 Equity shares of Rs 10/- each Sell quantity (16,780 shares sold on NSE and 16,000 shares sold on BSE) Rs. 64,11,113/-Sell value (Sale value Rs. 32,86,112/- on NSE

Sale Value Rs. 31,25,001/- BSE)

## Details of change in shareholding or voting rights held by Director or Officer and his Dependents or Promoter or Person who is part of Promoter Group of a Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992 Regulation 13(4), 13(4A) and (6)

listed company