



**Ambalal Sarabhai Enterprises Limited**

Registered Office : Shantisadan, Mirzapur Road, Ahmedabad-380001.  
Telephone : +9179-25507671 / 25507073, Fax : +9179-25507483, E-mail : ase@sarabhai.co.in

Ref No.:

Date

14.05.2020

To,  
Manager (Corporate Relations Deptt.)  
BSE Ltd.  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai – 400001  
Scrip Code: 500009

**Sub.: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended on 31st March, 2020.**

Dear Sir,

We herewith submit Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 for the half year ended on 31<sup>st</sup> March, 2020 which is given by M/s. Ajay Parikh & Associates, Company Secretaries, Ahmedabad.

Please take the same on your record.

Yours Faithfully,

**For Ambalal Sarabhai Enterprises Limited**

Damodar H. Sejpal  
**Company Secretary**

Encl.: As above

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURES REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31.03.2020.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s Ambalal Sarabhai Enterprise Limited** maintained by M/s MCS Share Transfer Agent Ltd, Registrar & Transfer Agents pertaining to transfer of equity shares of the company for the period from 01.10.2019 to 31.03.2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the 'SEBI (LISTING OBLIGATIONS AND DISCLOSURES REQUIREMENTS) REGULATIONS, 2015 and Sign in uniform Listing Agreement with Company and BSE for above said LODR-2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 31.03.2020:

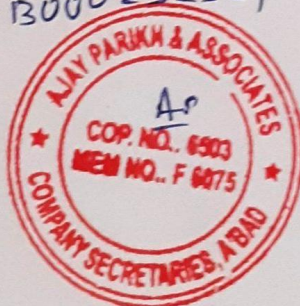
A) Share Certificate(s) relating to the transfer of Shares received during the period from 01.10.2019 to 31.03.2020 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) *Any other information, if applicable*  
The Company has not received any request for issue of Duplicate Share Certificates.

Date : 13-05-2020 *Aud*

Place : Ahmedabad  
F006075 B000232521

UDIN No:



For Ajay Parikh & Associates,  
COMPANY SECRETARIES

*Aud*

Proprietor  
Practicing Company Secretary  
FCS No. 6075; C.P. No. 6503