PHOTON CAPITAL ADVISORS LIMITED

(CIN: L65910TG1983PLC004368)

Regd. Office: Plot No.90-A, Road No.9, Jubilee Hills, Hyderabad - 500 033, Telangana

Tel/Fax No: 9951339995, Website: http://www.pcalindia.com/

Email Id - info@pcalindia.com

Date: 09.04.2019

To

The Manager DCS- CRD The BSE Limited 25th Floor, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001.

Dear Sir,

Sub.: Certificate under regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended 31.03.2019.

Please find enclosed a copy of certificate duly signed by Compliance officer and authorised representative of Share Transfer Agent under regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended 31.03.2019.

Thanking you,

Yours truly,

For **PHOTON CAPITAL ADVISORS LIMTED**

TEJASWY NANDURY WHOLE TIME DIRECTOR

DIN- 00041571

Encl: Certificate under regulation 7(3) of SEBI (LODR), Regulations, 2015.



COMPLIANCE CERTIFICATE Half yearly ended 31/03/2019

THIS IS TO CERTIFY that, KARVY FINTECH PRIVATE LIMITED (KFPL) is providing Registry and Share Transfer services to PHOTON CAPITAL ADVISORS LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFPL:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

FOR KARVY FINTECH PRIVATE LIMITED

Mohammed Shanoor Corporate Registry

Place: Hyderabad Date :03/04/2019

PHOTON CAPITAL ADVISORS LTD.,