



## LLOYDS METALS AND ENERGY LIMITED

Regd. Office and Works : Plot No. A1 & A2, MIDC Industrial Area, Ghugus 442 505, District Chandrapur (MS), Tel : 07172-285398, 07172-285103  
Corporate Office : A2, 2nd Floor Madhu Estate, Pandurang Budhkar Marg, Lower Parel, Mumbai-400013, Tel : +91-22-62918111

www.lloyds.in | CIN: L40300MH1977PLC019594 | investor@lloyds.in

**Date: 21<sup>st</sup> January, 2023**

To,  
**BSE Limited**  
**The Corporate Relations Department,**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400001

To,  
**Head- Listing & Compliance**  
**Metropolitan Stock Exchange of India Limited (MSEI)**  
205 (A), 2<sup>nd</sup> floor, Piramal Agastya Corporate Park,  
Kamani Junction, LBS Road, Kurla (West), Mumbai-400070

**Sub: SDD Compliance Certificate for the quarter ended 31<sup>st</sup> December, 2022.**

**Ref: BSE Scrip Code: 512455**  
**BSE and MSEI Scrip ID: LLOYDSME**

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Dear Sir/Madam,

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (“PIT Regulations”), please find enclosed herewith the SDD Compliance Certificate for the quarter ended 31<sup>st</sup> December, 2022.

Kindly take the above information on record.

Thanking You,  
Yours faithfully,  
**For Lloyds Metals and Energy Limited**

**Trushali Shah**  
**Company Secretary**  
Encl: a/a



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### **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31<sup>ST</sup> DECEMBER, 2022** *(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Trushali Shah, Compliance Officer of, Lloyds Metals and Energy Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 03 (three) number of events during the quarter ended and has captured 03 (three) number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: **NIL**

**For Lloyds Metals and Energy Limited**

**Trushali Shah**

**Compliance Officer**

**Date: 21<sup>st</sup> January, 2023**

**Place: Mumbai**