



Let's talk Forex

## ***Si Capital & Financial Services Limited***

*Where Forex standards are set, not Just met*

06-10-2023

To  
BSE Limited  
1<sup>st</sup> Floor, New Trading Wing  
Dalal Street, Fort  
Mumbai - 400001

Dear Sir/ Madam,

**Sub: Intimation of disclosure under Regulation 7(2) read with Regulation 6(2) of the SEBI (Prohibition of Insider Trading) Regulations, 2015**

Please find enclosed herewith the following disclosures received from Mr. Anu Thomas Cherian

1. Form C under Regulation 7(2) read with Regulation 6(2) of the SEBI (Prohibition of Insider Trading) Regulations, 2015

Kindly take the same on record.

Thank You.

**For S.I. Capital & Financial Services Limited**

**Akhil T M**  
**Company Secretary & Compliance Officer**

Encl: As above

Regd. Office No.27, First Floor, New Scheme Road,  
Pollachi, Coimbatore, Tamil Nadu 642001  
CIN : L67190TZ1994PLC040490  
Tel : 04259 – 233304/05, E-Mail : info@sicapital.co.in  
Website : www.sicapital.co.in

FORM C  
SEBI (Prohibition of Insider Trading) Regulations, 2015  
[Regulation 7 (2)(a) read with Regulation 6(2) – Continual disclosure]

Name of the company: S.I.Capital & Financial Services Limited 530907  
ISIN of the company: INE417F01017

Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)

| Name, PAN, CIN/DIN, & address with contact nos.  | Category of Person (Promoter/ member of the promoter group/designated person/ Director s/Immediate relative to/others etc.) | Securities held prior to acquisition/ disposal   |                             | Securities acquired/Disposed   |             | Securities held post acquisition/ disposal |   | Date of allotment advice/ acquisition of shares/ disposal of shares, specify From To               |                           | Date of intimatio n to company | Mode of acquisition /disposal (on market/ public/ rights/ preferential offer/ off market/ Inter-se transfer, ESOPs, etc.) | Exchange on which the trade was executed |   |           |
|--|---|--|-----------------------------|--|-------------|--|---|--|---------------------------|--------------------------------|---|--|---|-----------|
|  |   | Type of securities (For eg. – Shares, Warrants , Convertible Debentures, Rights entitlements etc.) | No. and % of share holdin g | Type of securities (For eg. – Shares, Warrants , Convertible Debentures, Rights entitlement, etc.) | No          | Value                                      | Transaction Type (Purchase /sale / Pledge / Revocation / Invocation/ Otherspleas e specify) | Type of securities (For eg. – Shares, Warrants , Convertible Debentures, Rights entitlement, etc.) | No. and % of shareholding |                                |   |  |   |           |
| 1<br>Anu Thomas Cherian<br>PAN: AEAPC0492A<br>DIN: 06461786<br>Address with Contact No: Ettutharayil Aroma, Peringala P O, | 2<br>Director   | 3<br>Equity shares   | 4<br>200000 (5.88%)         | 5<br>Equity shares   | 6<br>200000 | 7<br>200000                                | 8<br>Acquisition (Converting the warrants into equity shares)                               | 9<br>Equity Shares   | 10<br>400000 (11.11%)     | 11<br>05/10/202                | 12<br>05/10/202   | 13<br>06/10/202                          | 14<br>Preferential Offer (By converting warrant into equity shares) | 15<br>BSE |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Kayamkulam<br>, Alappuzha -<br>690559,<br>Kerala India<br>Mob:<br>9349431432 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|

Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

| Type of contract | Contract specifications | Trading In derivatives (Specify type of contract, Futures or Options etc.) |                |  | Exchange on which the trade was executed |    |
|------------------|-------------------------|--|----------------|--|--|----|
|                  |                         | Buy  | Sell           |  |  |    |
|                  |                         | Notional Value   | Notional Value | Number of units (contracts * lot size) | Number of units (contracts * lot size)   |    |
| 16               | 17                      | 18   | 19             | 20                                     | 21                                       | 22 |
| NA               | NA                      | NA   | NA             | NA                                     | NA                                       | NA |

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature:  ANU THOMAS CHERIAN

Designation: Director

Date: 06/10/2023

Place: Kayamkulam, Kerala

**Format for Disclosures under Regulation 29(2) of SEBI (Substantial acquisition of Shares and Takeovers) Regulations, 2011**

|   |   |  |  |
|---|---|--|--|
| Name of the Target Company (TC)   | S.I.CAPITAL & FINANCIAL SERVICES LIMITED 530907 |  |  |
| Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer   | ANU THOMAS CHERIYAN                             |  |  |
| Whether the acquirer belongs to Promoter/Promoter group   | No  |  |  |
| Name(s) of the Stock Exchange(s) where the shares of TC are Listed  | BSE Limited                                     |  |  |
| Details of the acquisition as follows   | Number  | % w.r.t. total share/voting capital wherever applicable(*) | % w.r.t. total diluted share/voting capital of the TC (**) |
| <b>Before the acquisition under consideration, holding of acquirer along with PACs of:</b>  |   |  |  |
| a) Shares carrying voting rights  | 200000  | 5.88   | 5.56   |
| b) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/others)  | Nil   | Nil  | Nil  |
| c) Voting rights (VR) otherwise than by equity shares   | Nil   | Nil  | Nil  |
| d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category)          | 200000  | NA   | 5.56   |
| e) Total (a+b+c+d)  | 400000  | 5.88   | 11.11  |
| <b>Details of acquisition</b>   |   |  |  |
| a) Shares carrying voting rights acquired   | 200000  | 5.56%  | 5.56%  |
| b) VRs acquired otherwise than by equity shares   | Nil   | Nil  | Nil  |
| c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired | Nil   | Nil  | Nil  |
| d) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/others)  | 200000  | 5.56%  | 5.56%  |
| e) Total (a+b+c+-d)   |   |  |  |

|   |   |        |        |
|---|---|--------|--------|
| <b>After the acquisition, holding of acquirer along with PACs of:</b>   |   |        |        |
| a) Shares carrying voting rights  | 400000  | 11.11% | 11.11% |
| b) VRs otherwise than by equity shares  | Nil   | Nil    | Nil    |
| c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition      | Nil   | Nil    | Nil    |
| d) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/others)  | Nil   | Nil    | Nil    |
| e) Total (a+b+c+d)  | 400000  | 11.11% | 11.11% |
| Mode of acquisition (e.g. open market / public issue / rights issue / preferential allotment / inter-se transfer/encumbrance, etc.)   | Preferential allotment (Conversion of unlisted warrants into equity shares) |        |        |
| Date of acquisition of/ date of receipt of intimation of allotment of shares / VR/ warrants/convertible securities/any other instrument that entitles the acquirer to receive shares in the TC. | Date of Allotment – 05/10/2023  |        |        |
| Equity share capital / total voting capital of the TC before the said acquisition   | Rs. 3,40,00,000/- divided in to 34,00,000 equity shares of Rs. 10/- each    |        |        |
| Equity share capital/ total voting capital of the TC after the said acquisition   | Rs. 3,60,00,000/- divided in to 36,00,000 equity shares of Rs. 10/- each    |        |        |
| Total diluted share/voting capital of the TC after the said acquisition   | Rs. 3,60,00,000/- divided in to 36,00,000 equity shares of Rs. 10/- each    |        |        |

(\* Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Clause 35 of the listing Agreement.

(\*\*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.



**Signature of the acquirer / Authorised Signatory  
For ANU THOMAS  
CHERIAN**

**Place: KAYAMKULAM,  
KERALA  
Date: 06/10/2023**