

HEADS UP VENTURES LIMITED

(Formerly known as The Mandhana Retail Ventures Limited)

CIN: L52390MH2011PLC213349

Registered Office: Plot No. E-132, MIDC, Tarapur Industrial Area, Boisar, Dist: Palghar - 401506

18th April, 2024

To,
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400001

To,
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot No. C-1,
G Block, Bandra Kurla Complex,
Bandra (E), Mumbai - 400051

Dear Sir/Madam,

Sub: Certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Ref: BSE- 540210; NSE - HEADSUP

With reference to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Certificate dated 17th April, 2024 issued by Ms. Shreya Shah, Practicing Company Secretary.

Kindly take the above on your records and oblige.

Yours faithfully,
for **HEADS UP VENTURES LIMITED**

AISHWARYA GUPTA
Company Secretary
(A55120)
Encl.: As above.

SHREYA SHAH
Practicing Company Secretary

To,
The Board of Directors,
HEADS UP VENTURES LIMITED
Plot No. E-132, M.I.D.C.,
Tarapur Industrial Area
Boisar, Palghar 401506
Maharashtra

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE PERIOD FROM 1ST APRIL 2023 TO 31ST MARCH, 2024

I have examined all the records and other documents relating to **HEADS UP VENTURES LIMITED** ("the Company") maintained at the office of its Registrar and Share Transfer Agent (RTA), **Link Intime India Private Limited**, at C-101, 247 Park, LBS Marg, Vikhroli West, Mumbai 400 083 pertaining to transfer of equity shares of the Company for the period from **1st April, 2023 to 31st March, 2024** for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and the RTA, I hereby certify that:

During the year period **1st April, 2023 to 31st March, 2024**:

- a) No requests relating to the sub-division, consolidation, renewal, exchange and/or transfer of shares were received; and
- b) As per the records made available to me, no requests relating to exchange or endorsement of calls/allotment monies were received.
- c) Reliance has been placed on the scanned documents obtained through electronic mode from the Company/RTA

SHREYA
A SHAH

Digitally signed
by SHREYA
SHAH
Date: 2024.04.17
14:30:00 +05'30'



SHREYA SHAH
PRACTISING COMPANY SECRETARY
ACS No. 39409 / CoP No. 15859

Place: Mumbai
Date: 17th April, 2024
UDIN: A039409F000153314

B 107, Shivram Apartment, Above Indian Bank, Ramchandra Lane, Malad West, Mumbai 400064
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