

**October 12, 2021**

To,  
The Listing Department  
**BSE Limited**  
Phiroze Jeejeebhoy Towers  
Dalal Street,  
Mumbai: 400 001

Ref: **Script Code: 539384**

Sub: **Compliance Certificate under Regulation 40 (9) of SEBI (Listing Obligation and Disclosure Requirements), Regulations, 2015.**

Dear Sir / Madam,

Pursuant to Regulation 40 (9) and 40 (10) of the SEBI (Listing Obligation and Disclosure Requirements), Regulations, 2015, we hereby submit the Certificate from a Practicing Company Secretary for the half year ending **September 30, 2021.**

You are requested to take the same on your record.

Thanking you,

Yours faithfully,

For, **Krishna Capital & Securities Limited**



**Ashokkumar Agrawal**  
**(Managing Director)**  
(DIN 00944735)



**Bipin L. Makwana**

Company Secretary in Practice

B. Com. ACS

94, Jaladhara 1, Opp. Bopal Gram Panchayat,

Bopal, Ahmedabad: 380058

Email: makwanabipin577@gmail.com, Mob: 9898079983

**CERTIFICATE UNDER REG 40 (9) OF SEBI (LODR) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED ON 30<sup>th</sup> SEPTEMBER, 2021**

I have examined Registers/ files and other documents relating to M/s. Krishna Capital & Securities Limited, maintained by MCS Share Transfer Agent Ltd., Ahmedabad (R&T), pertaining to transmission, sub-division, consolidation, renewal etc of equity shares of the Company for the period from 1<sup>st</sup> April, 2021 to 30<sup>th</sup> September, 2021, for the purpose of issuing Certificate as per Regulation 40 (9) of SEBI (LODR) Regulations, 2015 in terms of Listing Agreement entered into by Krishna Capital & Securities Limited with Stock Exchange (s). Based on the information provided by the Company, I hereby certify that the Company has Not received during the half year ended on 30<sup>th</sup> September, 2021.

A) Any request for share certificate relating to the transmission, sub-division, consolidation, duplicate, renewal etc during the period from 1<sup>st</sup> April, 2021 to 30<sup>th</sup> September, 2021.

B) Any other information; NIL



Signature: 

Bipin L. Makwana

Company Secretary

Membership No. 15650

COP: 5265

UDIN: A015650C001154799

Place: Ahmedabad

Date : 12/10/2021