

(Formerly Swan Mills Limited)

6, Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai 400001.

Tel.: 022-4058 7300 • Fax: +91-22-4058 7360 • Email: swan@swan.co.in

CIN. L17100MH1909PLC000294

04th October 2023

Dept. of Corporate Compliances, National Stock Exchange Limited, Exchange Plaza, Plot No. C/1, G Block, Bandra –Kurla Complex, Bandra-East, Mumbai – 400 051

Symbol: SWANENERGY

Dear Sir,

Dept. of Corporate Service BSE Limited, P.J. Tower, Dalal Street, Fort, Mumbai – 400 001 Scrip Code: 503310

Sub: Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018 for the quarter ended 30th September 2023

We enclose herewith a Certificate in compliance with Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018, received by the Company from M/s Purva Sharegistry (India) Pvt. Ltd, Registrar and Share Transfer Agent of the Company for the quarter ended 30th September 2023.

The above is for your information and dissemination please.

Thanking you,

Yours faithfully,

For Swan Energy Limited

(Arun Agarwal) Company Secretary

Enclosed as above



PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)
GST No. 27AAACP4924D1ZO • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011 Tel : 022-3199 8810 / 4961 4132 • Email: support@purvashare.com • Web : www.purvashare.com

30/09/2023

To,

SWAN ENERGY LIMITED - INE665A01038

Dear Sir/Madam.

Sub: Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that security received from the depository participants for dematerialisation during the quarter ended September, 2023, were confirmed (accepted / rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,

For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

SWAN ENERGY LIMITED - INE665A01038 - Details of Share certificates dematerialised from 01/07/2023 to 30/09/2023

FOLIO	NAME OF SHAREHOLDE R	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALIS ED ON
048053	MAHENDRA GADIA	0303932	1523701	1523800	100	05/07/2023
048938	MURZBAN FIROZE BULSARA	0302341	1623501	1624800	1300	11/07/2023
H00129	HARSHA P GODHIA	0302507	1911501	1911600	100	12/07/2023
V0010005	VILLY NUSSERWANJI CAMA	0300913	664301	670800	6500	02/08/2023
046254	KIRIT RAMESHCHAN DRA BHATT	0301974	1320001	1320100	100	10/08/2023
A0010017	ASHA LATA MAHESHWARI	0302121	1458301	1458400	100	26/08/2023
P0010006	PAYANDEH DINYAR BILLIMORIA	0303934	459901	460200	300	01/09/2023
K0010009	KASHMIRA SHASHIKANT DALAL	0302492	1899301	1899400	100	02/09/2023
044729	LEENA JANAK BHATT	0301697	1100701	1100800	100	14/09/2023
045690	JAMSHEED PHEROZ VACHHA	0301856	1250701	1253100	2400	15/09/2023
045689	SHIRIN RUSTOM BANKER	0301855	1248201	1250700	2500	15/09/2023
F0010001	FERNAZ SHROFF	0301969	1318901	1319400	500	22/09/2023
N0010009	NISHITH NARESH CHOKSEY	0301772	1152201	1157200	5000	22/09/2023
R0010011	RAKSHA MAHESH RAWAL	0302692	378201	378400	200	27/09/2023
R0010010	RAKSHA MAHESH RAWAL	0302642	300001	300100	100	27/09/2023
R0010010	RAKSHA MAHESH RAWAL	0302643	300101	300200	100	27/09/2023
R0010010	RAKSHA MAHESH RAWAL	0302644	300201	300300	100	27/09/2023
R0010010	RAKSHA MAHESH RAWAL	0302645	300301	300400	100	27/09/2023
R0010010	RAKSHA MAHESH RAWAL	0302646	300401	300500	100	27/09/2023
R0010010	RAKSHA MAHESH RAWAL	0302647	300501	300600	100	27/09/2023
R0010010	RAWAL RAKSHA MAHESH RAWAL	0302648	300601	300700	100	27/09/2023
R0010010	RAWAL RAKSHA MAHESH RAWAL	0302649	300701	300800	100	27/09/2023
G0010004	GOVINDRAM SHARMA	0302237	1530401	1530500	100	29/09/2023
S0010019	SHAILESH JAGMOHAN KHANDHAR	0303937	858201	858300	100	29/09/2023