

## KOTHARI FERMENTATION AND BIOCHEM LTD.

REGD. OFFICE: 16, COMMUNITY CENTRE, 1ST FLOOR, SAKET, NEW DELHI-110017 TEL.: 26517665, 26850004, 40590944 FAX: 91-011-41664840 E-mail: kfbl@airtelmail.in

Web: www.kothariyeast.in CIN: L72411DL1990PLC042502

23<sup>rd</sup> October, 2019

To,
The Manager- Listing,
The Bombay Stock Exchange Limited,
Phiroz Jeejeebhoy Towers,
25<sup>th</sup> Floor, Dalal Street,
Mumbai-400001

Scrip Code No: 507474

Subject: Compliance Certificate for the half year ended on 30<sup>th</sup> September, 2019.

Dear Sir,

We are hereby submitting the Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by the practicing Company Secretary for the half year ended on 30<sup>th</sup> September, 2019.

This is for your kind information and record.

Thanking You,

Yours faithfully,

For Kothari Fermentation & Biochem Limited

(Kavita Tanwar)

**Company Secretary** 

Encl: a/a

## V.K. SHARMA & CO. Company Secretaries

422, Ocean Plaza, Sector-18, Noida - 201 301 Tel.: 0120-4221470, Mobile: 9818816592 E-mail: vks\_cosecy@yahoo.com,

## CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 (LISTING REGULATIONS)

## FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2019

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to M/s. **KOTHARI FERMENTATION & BIOCHEM LIMITED** maintained by **M/s ABHIPRA CAPITAL LIMITED** pertaining to transfer of equity shares of the Company for the period from **01**<sup>st</sup> **APRIL**, **2019 TO 30**<sup>th</sup> **SEPTEMBER**, **2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the Listing Regulations and based on the information provided by the Company, we hereby certify that the Company has delivered during the half year ended 30<sup>th</sup> September, 2019;

- A) Share certificates in respect of requests relating to transfer deeds lodged prior to 01<sup>st</sup> April, 2019 and re-lodged and received during the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 as entered in the Memorandum of Transfers, have been issued within thirty days of the re-lodgment for transfer of each form except those rejected on technical grounds.
- B) There were no cases of requests for exchange, duplicate, split and consolidation of certificates during the period of review.

Place: Noida

Date: 18<sup>th</sup> October, 2019

For: V. K. Sharma & Co. Company Secretaries

Sharmann

(V. K. Sharma) C. P. No. 2019

FCS: 3440

UDIN:F003440A000117422