

**April 24, 2023**

**BSE Limited**

Department of Corporate Relationship  
1<sup>st</sup> Floor, New Trade Ring, Rotunda Building  
Phiroze Jeejeebhoy Towers  
Dalal Street, Mumbai- 400001  
*Scrip Code: 524742*

**National Stock Exchange of India Ltd.,**

Department of Corporate Services  
Exchange Plaza, 5<sup>th</sup> Floor,  
C-1, Block G, Bandra Kurla Complex,  
Bandra (E), Mumbai – 400 051  
*Scrip Code: CAPLIPOINT.*

Dear Sir/Madam,

**Sub: Compliance Certificate in terms of Regulation 40 (10) of the SEBI (LODR) Regulations, 2015 (Listing Regulations) for the year ended 31<sup>st</sup> March 2023.**

Please find enclosed the Compliance Certificate, issued by M. Alagar and Associates, Chennai, Practicing Company Secretaries, in terms of Regulation 40 (9) of the Listing Regulations, for the year ended 31<sup>st</sup> March 2023.

Kindly take the same on record.

Thanking You,

Sincerely Yours

**For Caplin Point Laboratories Limited**

VENKATRAM  
GANAPATHISUBRAMANIAN  
ANIAN

Digitally signed by VENKATRAM  
GANAPATHISUBRAMANIAN  
Date: 2023.04.24 18:35:40  
+05'30'

**Venkatram G**

**General Counsel & Company Secretary**  
**Membership No. A23989**

Encl: A/a



**M. Alagar & Associates**

Practising Company Secretaries

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023**

We have examined the relevant documents relating to **Caplin Point Laboratories Limited**, having its registered office at Ashvich Towers, 3rd Floor, No.3, Developed Plots, Industrial Estates, Perungudi, Chennai 600096 maintained and provided by **Integrated Registry Management Services Private Limited**, having its office at 2<sup>nd</sup> Floor, Kences Towers, No.1, Ramakrishna Street, North Usman Road, T. Nagar, Chennai - 600 017 for the period from 01<sup>st</sup> April 2022 to 31<sup>st</sup> March 2023 for the purpose of issuing a certificate under the Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Based on the information and explanations provided by the RTA, which to the best of our knowledge and belief was necessary for the certification, we hereby certify that:

- A. The Certificates relating to Transmission, Duplicate Share Certificate Requests, Change of Name, Replacement of Certificates, Split and Consolidation have been issued within 30 days from the date of lodgement of request during the aforementioned period.
- B. Except for transfer of shares to Unclaimed Securities Suspense Account and Suspense Escrow Demat Account, the Company and/ or Share Transfer Agent have not received any request for transfer, transposition/ Sub-division, exchange, or endorsement of calls/allotment monies and renewal of share certificates during the aforementioned period.

**For M. Alagar and Associates  
Practicing Company Secretaries  
Peer Review Certificate No: 1707/2022**

**D. Saravanan  
Partner  
Membership No.: A60177 / CoP No.: 22608  
UDIN: A060177E000169451**



Date: April 22, 2023  
Place: Chennai

#21-B, 1st Floor, A.R.K. Colony, Eldams Road,  
Alwarpet, Chennai - 600 018.

GST No : 33ABMFM8069L1ZL



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