



# RAJKUMAR FORGE LIMITED

CIN: L28910PN1990PLC056985

REGD. OFF.: OFFICE NO. 511 TO 513, GLOBAL SQUARE, S. NO. 247, 14B,  
YERAWADA, PUNE-411 006

PHONE NO. : 8956616160

EMAIL: [info@rkforge.in](mailto:info@rkforge.in)

WEBSITE: [www.rkforge.in](http://www.rkforge.in)



RFL/SEC/2020-21/86

October 14, 2020

To,  
The DCS- CRD,  
BSE Limited,  
Corporate Relationship Department,  
1<sup>st</sup> Floor, New Trading Ring,  
Rotunda Building, P. J. Towers,  
Dalal Street,  
Mumbai - 400 001

**Scrip Code: 513369**

Dear Sir / Madam,

**Subject: Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020**

Pursuant to Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith Compliance Certificate issued by Mr. Sridhar G. Mudaliar, Practicing Company Secretary, received under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020.

Kindly the same on your record.

Thanking you,

Yours faithfully,

For Rajkumar Forge Limited

Shruti Patil

Company Secretary

Membership No. : ACS40609



Encl.: As above

**Sridhar G. Mudaliar**  
M.Com. LL.B. F.C.S.  
Company Secretary

22-A, Hanuman Nagar,  
Off: Senapati Bapat Road,  
Model Colony, Pune 411 016  
Tel: 25655319

---

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015  
FOR THE HALF YEAR ENDED ON 30<sup>TH</sup> SEPTEMBER 2020

I have verified the documents and Statements relating to equity shares of **Rajkumar Forge Limited** (“the Company”) having its registered office at Office No. 511 to 513, Global Square, S. No. – 247, 14B, Yerawada, Pune 411006, Maharashtra, maintained by **Link Intime India Private Limited**, Registrar and Transfer Agents (“RTA”), having its registered office at C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West) Mumbai-400 083 and branch office at 202, A wing, 2<sup>nd</sup> Floor, Akshay Complex, off Dhole Patil Road, near Ganesh Mandir, Pune- 411001, sent to me on email, for the period from 01<sup>st</sup> April 2020 to 30<sup>th</sup> September 2020 for the purpose to issue certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015(“SEBI LODR”).

Pursuant to The Securities and Exchange Board of India, Gazette notification dated June 8 , 2018 and its press release dated December 3,2018,amended Regulation 40 of the SEBI (LODR) has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 01,2019, read with SEBI press release dated March 27, 2019 and circular SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 September 7, 2020 relating to transfer deeds lodged prior to deadline and returned due to deficiency in the documents may be re-lodged for transfer even after the deadline of April 01,2019, I hereby certify that during the half year ended on September 30, 2020 the Company has not received any re-lodgment request for transfer of securities, request for deletion of the name of the deceased, transmission of securities , transposition of names and requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies.

*I could not verify the data physically for this certificate as an effect of the order of the state government and local bodies to observe social distancing so as to avoid the spread of the pandemic of Covid -19.*

SRIDHAR  
GOPALKRIS  
HNA  
MUDALIAR

Digitally signed by SRIDHAR  
GOPALKRISHNA MUDALIAR  
DN: cn=SRIDHAR GOPALKRISHNA  
MUDALIAR, o=IN, st=Maharashtra,  
\*Personal,  
serialNumber=132091Caa3c668ae3  
4d21572e00968e6a155eeb07573d0  
2b8008a22c45b  
Date: 2020.10.14 14:36:04 +05'30'

Sridhar Mudaliar  
FCS No. 6156  
C.P. No. 2664

Date: 14<sup>th</sup> October 2020  
Place: Pune

UDIN: F006156B000940155