

RAJKUMAR FORGE LIMITED

CIN: L28910PN1990PLC056985

REGD. OFF.: OFFICE NO. 511 TO 513, GLOBAL SQUARE, S. NO. 247, 14B, YERAWADA, PUNE-411 006

PHONE NO.: 8956616160 EMAIL: info@rkforge.in

WEBSITE: www.rkforging.com



RFL/SEC/2019-20/130

October 14, 2019

To, The DCS-CRD, BSE Limited, Corporate Relationship Department, 1st Floor, New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Mumbai - 400 001

Scrip Code: 513369

Dear Sir / Madam,

Subject: Certificate under Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2019

Pursuant to Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith Compliance Certificate issued by Mr. Sridhar G. Mudaliar, Practicing Company Secretary, received under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2019.

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully,

For Rajkumar Forge Limite

Company Secretary

Membership No.: ACS40609

Encl.: As above

22-A, Hanuman Nagar, Off: Senapati Bapat Road, Model Colony, Pune 411 016

Tel: 25655319

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015 FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER 2019

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to Rajkumar Forge Limited ("the Company") having its registered office at Office No. 511 to 513, Global Square, S. No. – 247, 14B, Yerawada, Pune 411006 maintained by M/s Link Intime India Private Limited, Registrar and Transfer Agents ("RTA"), having its registered office at C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West) Mumbai- 400083 and branch office at 202, A wing, 2nd Floor, Akshay Complex, off Dhole Patil Road, near Ganesh Mandir, Pune- 411001 pertaining to issue of equity share certificates as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations 2015 for the period from 01st April 2019 to 30th September 2019, and based on the information provided by the Company and their RTA, we hereby certify that the Company has delivered share certificates during the half year ended on 30th September 2019:

- a) relating to transfer deeds lodged prior to 01st April 2019 and re-lodged thereafter, received during the period from 01st April 2019 to 30th September 2019, within 30 days from the respective date of lodgement of each form excepting those rejected on technical grounds in pursuant with the press release dated 27th March 2019 issued by Securities and Exchange Board of India;
- b) relating to deletion of the name of the deceased, transmission of securities, transposition of names wherever applicable, received during the period from 01st April 2019 to 30th September 2019 as entered in the Memorandum of Transfers within 30 days from the respective date of lodgement of each form except those rejected on technical grounds or those where seller Notices have been sent;
- c) Relating to requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, wherever applicable within 30 days of lodgement.

Sridhar Mudaliar FCS No. 6156

C.P. No. 2664

Date: 14.10.2019

Place: Pune

UDIN: F006156A000054842

