



**PETWASTE**

(PRODUCT OF GG ENGINEERING LIMITED)

## **GG ENGINEERING LTD.**

An ISO 9001 : 2015 Certified Company

Date: 31<sup>st</sup> March, 2022

To,  
The General Manager,  
DCS-CRD  
BSE Limited  
PhirozeJeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

Dear Sir,

Scrip Code: 540614  
BSE Scrip ID: GGENG

Sub: RTA Certificate

Pursuant to circular no. SEBI/HO/MIRSD/MIRSD/RTAMB/P/CIR/2021/655 dated November 03,2021 as amended by its circular dated December 14,2021 issued by the SEBI (herein after referred to as the "circulars") Our RTA M/S KFIN Technologies Limited (formerly known as KFIN Technologies Private Limited) has issued this certificate.

Please take it on your record and oblige us.

For G G Engineering Limited

*Vinod Beriwal*

Vinod Beriwal  
Managing Director  
(DIN-01817948)



## CERTIFICATE

To,  
**KFin Technologies Limited**  
(formerly known as 'KFin Technologies Private Limited')  
Selenium, Tower B, Plot No- 31 & 32,  
Financial District, Nanakramguda, Serilingampally,  
Hyderabad, Rangareddi, Telangana-500032, India.

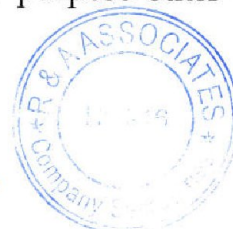
I, R. Ramakrishna Gupta, Senior Partner, R & A Associates, Practising Company Secretaries has been appointed vide by **Letter dated 01-03-2022** by **M/s. KFin Technologies Limited** (formerly known as 'KFin Technologies Private Limited'), (hereinafter referred to as 'RTA'), having **CIN U72400TG2017PLC117649** and having its Registered Office at **Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana-500032, India** and engaged in the business, inter alia, as Registrar and Share Transfer Agent, registered under SEBI (Registrar to an Issue and Share Transfer Agents), Regulations, 1993 to issue this certificate pursuant to **Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/655 dated November 03, 2021** as amended by its circular dated December 14, 2021 issued by the Securities and Exchange Board of India (hereinafter referred to as the "Circulars")

### Management Responsibility:

It is the responsibility of the Management of the RTA to implement the provisions of the circulars including designing, maintaining records and devising proper systems to ensure compliance with the provisions and to ensure that the systems are adequate and operate efficiently in compliance with the Circulars issued on an ongoing basis.

### Assumptions & Limitations of Scope and Review:

1. Ensuring the authenticity of documents and information furnished is the responsibility of the RTA.
2. Our responsibility is to certify based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. This certificate is solely for the intended purpose of compliance in terms of aforesaid circulars and for your information and it is not to be used, circulated, quoted or otherwise referred to for any other purpose other than compliance with the aforesaid circulars.



Verification:

On the basis of the information/documents and explanation furnished to the undersigned by the RTA, for the purpose of certifying the compliance with the Circulars, following have been examined:

- a) Standardized, Simplified and common norms across all service request received from the investors for its clients in relation to processing of the said service request, and its operation thereof as established by the RTA, for the investors holding securities in physical mode, inter-alia, relating to:
  - i) Registering of / Change in / Up-dation of: a) PAN, b) Nominee, c) Contact details (postal address, Mobile number & E-mail), d) Bank details and e) Signature.
  - ii) Processing of request for: a) Issue of Duplicate securities certificate b) Replacement / Renewal / Exchange of securities certificate, c) Consolidation of securities certificate d) Sub-division / Splitting of securities certificate, e) Consolidation of folios, f) Endorsement, g) Change in the name of the holder, h) Change in status from Minor to Major and Resident to NRI and vice versa, i) Claim for undelivered securities certificate, prior to its transfer Unclaimed Suspense Account, j) Claim from Unclaimed Suspense (demat) Account k) Transmission and l) Transposition.
  - iii) Services through Depository Participants (DPs) for Demat and Remat.

The RTA has also established the procedures but not limited to the following:

- b) For the electronic interface in the form of electronic mail established by the RTA for processing queries, complaints and service requests by the investors. *Further, the RTA rejects the requests received through email if the documents submitted by the investor/claimant were either not bearing e-sign or were not sent from registered email id. Further, pursuant to circulars, although processing of requests through service portal is not mandatory, the RTA is taking steps to implement the same in due course of time;*
- c) For the communication sent to all the investors holding securities in physical mode for mandatorily obtaining the documents/details of PAN, KYC and Nomination, bank particulars, email address and mobile number, wherever, the same is not provided for in respect of the folio for the holders of physical securities;
- d) Checked the website of RTA with specific reference to availability of Forms, viz., ISR 1, ISR 2, ISR 3, ISR 4, SH13 and SH 14, dissemination of the requirement of furnishing valid PAN, KYC and Nomination details, bank particulars, email address and mobile number, for the holders of physical securities of all listed companies, alongside the contact details of RTA;



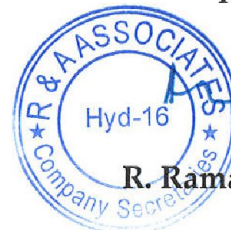


- e) Laid down procedure as provided for in the Circulars for minor/major mismatch of signatures, mismatch in name, obtaining documents as specified in the Circulars for proof of address, self attestation of documents instead of Attestation or Notarization, avoid calling for Indemnity from the investors unless specifically provided for in the Companies Act, 2013.
- f) Adhering to the time line as prescribed for in the Circulars;
- g) Care has been taken by the RTA to reply to the Complaints/service requests and to raise objection, if any, on all the requests made simultaneously, display the complete contact details of the RTA in its website as well as that of their clients;
- h) Proper maintenance of the records of frozen/ unfreezed folios: *The said requirement is not applicable as on the date of issue of the certificate. Hence, the undersigned is not commenting on the same;*
- i) Maintenance of Records of Frozen folios referred by RTA to administering authority under the Benami Transactions (Prohibitions) Act, 1988/ Prevention of Money Laundering Act, 2002 (Applicable after December 31, 2025): *The said requirement is not applicable as on the date of issue of the certificate. Hence, the undersigned is not commenting on the same;*
- j) Sending of communication to existing shareholders for linking of PAN with Aadhar numbers and Procedures established for acceptance of only valid PANs linked with Aadhar on record;
- k) The undersigned has carried out random folio check for updation of records with respect to the service requests received in relation to the above in so far as they are applicable as on the date of issuance of this certificate.

**Certification:**

In our opinion and to the best of our knowledge and according to the verification as considered necessary and explanations furnished to us by the RTA and its Officers, we certify that the RTA has carried out the changes, put the systems in place and implemented the new operating procedures etc. in compliance of the Circulars.

For R & A Associates  
Company Secretaries



**R. Ramakrishna Gupta**

**Senior Partner**

**FCS No: 5523**

**C.P. No: 6696**

Date: 25<sup>th</sup> March, 2022

Place: Hyderabad

UDIN: F005523C003135210