# Suzlon Energy Ltd.

One Earth, Hadapsar, Pune - 411 028, India



Phone : +91.20.61356135 / 67022000 Fax : +91.20.67022100 / 67022200

E-mail: pune@suzlon.com URL: www.suzlon.com

18<sup>th</sup> April 2023.

National Stock Exchange of India Limited, "Exchange Plaza", Bandra-Kurla Complex, Bandra (East), Mumbai-400051. **BSE Limited** P.J. Towers, Dalal Street, Mumbai-400001.

Dear Sirs,

Sub.: Compliance in terms of SEBI (Listing Obligations and Disclosure Requirements)
Regulations, 2015, as amended (the "Listing Regulations") for the quarter / year ended
March 2023.

Enclosed please find the following in terms of the Listing Regulations for the quarter / year ended March 2023:

- 1. Certificate under Regulation 7(3) of the Listing Regulations for the year ended March 2023, certifying that all the activities in relation to, both physical and electronic, share transfer facility are maintained by KFin Technologies Limited (SEBI Registration No.INR000000221), the Registrar and Share Transfer Agent of the Company;
- 2. A statement of the investors' complaints under Regulation 13(3) of the Listing Regulations; and
- 3. Corporate Governance Report in terms of Regulation 27(2) of the Listing Regulations.

Hope you would find the same in order.

Thanking you,

Yours faithfully, For Suzlon Energy Limited

Geetanjali S.Vaidya, Company Secretary.

Encl.: As above.



### COMPLIANCE CERTIFICATE

### FOR THE PERIOD ENDED 31ST MARCH 2023

THIS IS TO CERTIFY that, KFin Technologies Ltd (KFIN) is providing Registry and Share Transfer services to Suzlon Energy Limited and having the valid agreement in the form of Memorandum of Understanding (MOU).

## **FURTHER CERTIFIED that KFIN:**

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide Registration NO. INR000000221 issued by Securities Exchange Board of India (SEBI).
- Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic from (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issue in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

Yours faithfully, KFin Technologies Limited



Ganesh Chandra Patro Asst. Vice President.

Place: Hyderabad Date: 11.04.2023 One Earth, Hadapsar, Pune - 411 028, India



Phone: +91.20.61356135 / 67022000 : +91.20.67022100 / 67022200

E-mail: pune@suzlon.com URL: www.suzlon.com

## **COMPLIANCE CERTIFICATE**

# FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2023

This is to certify that Suzlon Energy Limited (hereinafter referred to as the "Company") has entered into an arrangement with KFin Technologies Limited ("KFIN") for providing Registrar and Share Transfer services to the Company and has valid agreement in place in the form of Memorandum of Understanding.

As confirmed by KFIN, this is to further certify that KFIN is:

- a. Holding and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide Registration No.INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents / correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of the investors holding shares in electronic from (Demat) as received from the depositories for a minimum period of one year.

This certificate is being issued by the Company in compliance of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. A similar certificate has also been issued by KFIN in compliance with Regulation 7(3).

For Suzlon Energy Limited

Place: Pune

Geetanjali S.Vaidya, Dated: 18th April 2023 Company Secretary.