

THE FIRST CUSTODIAN FUND (INDIA) LTD.



CORPORATE OFFICE : SURYA MAHAL 3RD FLOOR, NAGINDAS MASTER ROAD, FORT, MUMBAI-400 023. INDIA
PHONE : 6635 9001 • 6635 9002 • FAX: +9122-2270 3539 • CIN : L67120WB1985PLC038900

Date: 14th April, 2021

To,
Dept. of Corporate Services
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai – 400 001

Dear Sir,

Sub: Regulation 40 (9) of the SEBI (LODR) Regulations, 2015

Ref: Certificate from Practicing Company Secretary

With reference to above and pursuant to Regulation 40 (9) of SEBI (LODR) Regulations, 2015, please find enclosed herewith the copy of the Certificate received from M/s. P. P. Shah & Co., Practicing Company Secretaries in respect of transfer or transmission or transposition of securities for the half-year ended 31st March, 2021.

You are requested to take the same on your record.

Kindly acknowledge the receipt.

Thanking you.

Yours faithfully,

For **THE FIRST CUSTODIAN FUND (INDIA) LTD**

**GIRIRAJ DAMMANI
MANAGING DIRECTOR**

Encl: As above.

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LODR) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31ST MARCH, 2021

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s. The First Custodian Fund (India) Limited* having its Registered Office situated at 11, Camac Street, Kolkata – 700 017 maintained by *M/s. Link Intime (India) Private Limited*, a Registrar & Share Transfer Agent (RTA) having its office at C 101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai – 400 083 pertaining to transfer of equity shares (shares re-lodged for transfers for the purpose of issue in demat mode only in terms of circular issued by SEBI dated September 7, 2020 bearing reference no. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 and circular issued by SEBI dated December 2, 2020 bearing reference no. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/236) of the company for the period from *01st October, 2020 to 31st March, 2021* for the purpose of issuing a Certificate as per Regulation 40 (9) of SEBI (LODR) Regulations, 2015 entered into by, *M/s. The First Custodian Fund (India) Limited* with BSE Limited and based on the information provided by the Company, I hereby certify that the Company has delivered during *half year ended on 31st March, 2021*:

A) ~~Share/Debtenture~~–Certificate(s) relating to the transfer of Shares/~~Debtentures~~ received during the period from *01st October, 2020 to 31st March, 2021* as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) *Any other information, if applicable:*

Note: As per The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fourth Amendment) Regulations, 2018, (hereinafter SEBI (LODR)) read with SEBI Notification No. SEBI/LAD-NRO /GN/ 2018/49 dated 30th November, 2018, first proviso has been inserted in Regulation 40 (1) of SEBI (LODR) as per which requests for effecting transfer of securities w.e.f. 1st April, 2019 shall not be processed unless the securities are held in



the dematerialized form with a depository. Accordingly, during the period from *01st October, 2020 to 31st March, 2021* no requests for share transfers were processed in physical form.



Date: 14th April, 2021
Place: Mumbai

For P. P. SHAH & CO.

Pradip C. Shah

(PRADIP C. SHAH)
Practising Company Secretary
Partner
Membership No: 1483
Certificate of Practice: 436
UDIN: F001483C000081992

Please note that:

- 1) This Certificate should be given by a *Practising Company Secretary*.
- 2) The Certificates should be given for *Half Year ended 31st March, 2021*.