

## February 18, 2025

The Manager,

Listing Department,

**BSE Limited,** 

Phiroze Jeejeebhoy Tower,

Dalal Street,

Mumbai 400 001.

Tel no.: 22721233

Fax No.: 22723719/ 22723121/ 22722037

**BSE Scrip Code: 542773** 

The Manager,

Listing Department,

The National Stock Exchange of India Ltd.,

Exchange Plaza, 5th Floor, Plot C/1, G Block,

Bandra - Kurla Complex, Bandra (E), Mumbai 400 051.

Tel No.: 2659 8235

Fax No.: 26598237/ 26598238

**NSE Symbol: IIFLCAPS** 

Sub: Intimation under Regulation 30 of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 read with Schedule III of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, we wish to inform you that IIFL Capital Asset Management Limited (Formerly IIFL Securities Alternate Asset Management Limited), a wholly owned subsidiary, has received Certificate of Registration as Investment Adviser from Securities and Exchange Board of India.

Disclosure of information pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 November 11, 2024 as **Annexure – I**.

Kindly take the above on record and oblige.

Thanking you,

For IIFL Capital Services Limited (Formerly IIFL Securities Limited)

Meghal Shah Company Secretary

**Encl: As above** 



## Annexure -I

Disclosure of information pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 November 11, 2024 are as follows:

| Sr.<br>No | Particular   | Description   |
|-----------|--|---|
| 1         | Name of the regulatory or licensing authority;   | Securities and Exchange Board of India  |
| 2         | Brief details of the approval/license obtained/withdrawn/surrendered;  | Name of the Subsidiary Company: IIFL Capital Asset Management Limited (Formerly IIFL Securities Alternate Asset Management Limited) Certificate No.: 1008 Registration No.: INA000019956 Type of License: Investment Adviser License Dated: February 18, 2025 |
| 3         | Impact/relevance of such approval/license to the listed entity;  | This license enables the subsidiary company to provide advisory solutions to larger wealth clients.   |
| 4         | Withdrawal/cancellation or suspension of licence/approval by the regulatory or licensing authority, with reasons for such action, estimated impact (monetary or otherwise) on the listed entity and penalty, if any;                                       | N.A.  |
| 5         | Period for which such approval/license is <del>/was</del> valid;   | This certificate shall be valid from 17/02/2025 till it is suspended or cancelled in accordance with the Regulations.   |
| 6         | Subsequently, the listed entity shall inform the stock exchange(s), the actual impact (monetary or otherwise) along with corrective actions taken by the listed entity pursuant to the withdrawal, cancellation or suspension of the key license/approval. | N.A.  |

IIFL Capital Services Limited (Formerly known as IIFL Securities Limited)

Corporate Identity Number: L99999MH1996PLC132983