



YBL/CS/2023-24/111

**October 20, 2023**

**National Stock Exchange of India Limited**

Exchange Plaza, Plot no. C/1, G Block,  
Bandra - Kurla Complex, Bandra (E)  
Mumbai - 400 051  
Tel.: 2659 8235/36 8458  
**NSE Symbol: YESBANK**

**BSE Limited**

Corporate Relations Department  
P.J. Towers, Dalal Street  
Mumbai - 400 001  
Tel.: 2272 8013/15/58/8307  
**BSE Scrip Code: 532648**

Dear Sir/Madam,

**Sub: Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

This is to inform you that the Bank has received a communication on October 19, 2023, from Reserve Bank of India (RBI) stating that the RBI has conducted incognito visits to certain branches of the Bank and had imposed a monetary penalty of Rs. 10,000/- on the Bank for non-compliance with RBI requirement of exchange of soiled/mutilated notes/coins at one of the branches of the Bank.

This penalty has been imposed in exercise of powers vested in RBI conferred under the provisions of Section 47 A (1) (c) read with Section 46 (4) (i) of the Banking Regulations Act, 1949.

Information as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with Schedule III and the SEBI Circular No. SEBI/HO/CFD/CFD-PoD1/P/CIR/2023/123 dated July 13, 2023, is enclosed as *Annexure A*.

We request you to take the above on record and disseminate the same on your website.

Thanking you,

Yours faithfully,

**For YES BANK LIMITED**

**Shivanand R. Shettigar**  
**Company Secretary**

*Encl: As above*

*Annexure A*

<b>Sr. No.</b>	<b>Details of Events that need to be provided</b>	<b>Details / Information of such events(s)</b>
1	Name of the authority	Department of Currency Management, Reserve Bank of India
2	Nature and details of the action(s) taken, initiated or order(s) passed	Imposition of a monetary penalty of Rs. 10,000/-
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Communication received on October 19, 2023 from Reserve Bank of India
4	Details of the violation(s)/contravention(s) committed or alleged to be committed	Non-compliance with RBI requirement of exchange of soiled/mutilated notes/coins at one of the branches of the Bank
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Rs. 10,000/- (Rupees Ten Thousand only)