

Sanjay_Srivastava

From: Kaushal Kumar <KaushalK@nsdl.com>
Sent: 09 September 2023 12:37
To: Sanjay_Srivastava
Subject: FW: [SPAM]- SEBI (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations") – Framework for restricting trading by Designated Persons ("DPs") by freezing PAN at security level
Attachments: Annexure I_BSE_NSE.xlsx

Dear sir,
NSDL is the designated depository for your Company **KOTHARI PRODUCTS LIMITED Issuer code- 823A.**

Regards,

Kaushal Kumar | Assistant Manager | Business Development & Products
National Securities Depository Limited | Ph: 0522-6672325 / Mobile No: +91- 9918374333
email : kaushalk@nsdl.com

Web: www.nsdl.co.in



From: Sanjay_Srivastava [mailto:sanjaysrivastava@kothariproductions.in]
Sent: 08 September 2023 11:55
To: 'Kaushal Kumar'
Subject: FW: [SPAM]- SEBI (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations") – Framework for restricting trading by Designated Persons ("DPs") by freezing PAN at security level

Dear Kaushal ji,

As discussed, we are forwarding the mail of BSE regarding Trading Window closure which is self explanatory. Please note that you are our Designated Depository.

Awaiting your reply.

With regards,

(Sanjay Srivastava)

From: Raj Kumar Gupta [<mailto:rkgupta@kothariproducts.in>]

Sent: 06 September 2023 19:01

To: sanjaysrivastava@kothariproducts.in

Cc: CSDEPTT

Subject: FW: [SPAM]- SEBI (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations") – Framework for restricting trading by Designated Persons ("DPs") by freezing PAN at security level

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From: BSE LISTING COMPLIANCE [<mailto:mailers@bseindia.in>]

Sent: Tuesday, September 05, 2023 11:12 AM

To: rkgupta@kothariproducts.in

Subject: [SPAM]- SEBI (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations") – Framework for restricting trading by Designated Persons ("DPs") by freezing PAN at security level

Notice No - 20230731-15

July 31, 2023

Dear Sir/Madam,

This is in furtherance to the Exchange notice no: 20220808-7 dated August 8, 2022; 20230628-23 dated June 28, 2023 and 20230721-41 dated July 21, 2023 and in accordance with Securities and Exchange Board of India (SEBI) circular no. SEBI/HO/ISD/ISD-SEC-4/P/CIR/2022/107 dated August 5, 2022 and SEBI/HO/ISD/ISD-PoD-2/P/CIR/2023/124 dated July 19, 2023, regarding Trading Window closure period under Clause 4 of Schedule B read with Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations") – Framework for restricting trading by Designated Persons ("DPs") by freezing PAN at security level.

1. According to the said circular issued by SEBI, Exchange has issued circular on July 21, 2023 for the same. However, all listed entities are requested to please find attached revised Annexure 1 for Top 1,000 companies in terms of BSE Market Capitalization as of June 30, 2023 (excluding companies which are a part of benchmark indices).
2. Companies that qualify must include all equity ISIN and ISINs that are convertible into equity.
3. Listed entities are required to designate one of the depositories as its designated depository and provide the information including PAN of Promoter(s), promoter group, director(s) and designated person(s) in the manner as specified by the depositories according to SEBI circular no – SEBI/HO/ISD/ISD/CIR/P/2020/168 dated September 9, 2020. Further, listed entities shall update their designated person list in the manner specified by the depositories on regular basis.

This circular shall come into force for trading window closure with effect from October 1, 2023

The aforesaid circular is attached for reference.

All Listed companies are requested to take note and comply accordingly.

Please find the below link for the said circular issued today:

<https://www.bseindia.com/markets/MarketInfo/DispNewNoticesCirculars.aspx?page=20230731-15>

BSE LISTING COMPLIANCE

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