

MCX/SEC/2038

May 20, 2022

BSE Limited
Department of Corporate Services
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400001.

Ref: Scrip code: 534091 Scrip ID: MCX
Sub: Annual Secretarial Compliance Report for FY 2021-2022

Dear Sir/ Madam,

Pursuant to Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019, please find enclosed the Annual Secretarial Compliance Report for the Financial Year ended March 31, 2022 issued by P. Naithani & Associates, Practicing Company Secretaries.

Kindly take the same on records.

Thanking you,

Yours faithfully,

For Multi Commodity Exchange of India Limited

Ajay Puri
Company Secretary

Encl: as above



P. NAITHANI & ASSOCIATES

Company Secretaries

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Secretarial compliance report of MULTI COMMODITY EXCHANGE OF INDIA LIMITED for the year ended March 31, 2022

I, Prasen Naithani, of P. Naithani & Associates, have examined:

- (a) all the documents and records made available to us and explanation provided by Multi Commodity Exchange Of India Limited (“MCX”) (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022, (“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities Contracts (Regulation) (Stock Exchanges And Clearing Corporations) Regulations, 2018;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (d) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (e) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -



Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company has delayed by one day, during the period under review for the first time, in uploading the disclosure on related party transactions on a consolidated basis for half year ended September 30, 2021 with Bombay Stock Exchange ("BSE")	BSE has vide email dated March 14, 2022 waived fine upon request of MCX.

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1	Communications received by company from BSE vide email dated January 14, 2022.	As stated above, under Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Company has delayed by one day, during the period under review for the first time, in uploading the disclosure pertaining to related party transactions on a consolidated basis for half year ended September 30, 2021 with BSE	Fine of Rs.5900/- was imposed by BSE.	On request made by MCX, without paying the imposed fine, BSE vide email dated March 14, 2022 granted waiver of fine.



- d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended March 31, 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NIL				

**For P. Naithani & Associates
Company Secretaries**



A handwritten signature in blue ink that reads "Prasen Naithani".

**Prasen Naithani
FCS No. 3830
C.P. No. 3389
PR.No.1131/2021**

Place: Mumbai
Date: May 20, 2022
UDIN: F003830D000351782