



## RALLIS INDIA LIMITED

April 16, 2024

BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai – 400 001  
Scrip Code: 500355

National Stock Exchange of India Limited  
Exchange Plaza  
Bandra-Kurla Complex Bandra (E)  
Mumbai – 400 051  
Symbol: RALLIS

Dear Sir/Madam,

**Sub: Compliance under Regulation 40 (9) and (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024**

Pursuant to Regulation 40 (9) and (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 please find enclosed the letter dated April 16, 2024 received from M/s. Link Intime India Private Limited, our Registrar and Share Transfer Agent along with the certificate dated April 16, 2024 issued by Ms. Sonali V. Bhuta, Practising Company Secretary for the period from April 1, 2023 to March 31, 2024.

This is for your information and records.

Thanking you,

**Yours faithfully,  
For Rallis India Limited**

**Srikant Nair  
Company Secretary & Compliance Officer**

Encl: As above



Link Intime India Pvt. Ltd.

CIN: U67190MH1999PTC118368

C-101, 247 Park, L.B.S. Marg,  
Vikhroli (West), Mumbai - 400 083

Phone: +91 22 6656 8484

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Email: [csg-unit@tcplindia.co.in](mailto:csg-unit@tcplindia.co.in)

Website: <https://www.tcplindia.co.in>

Date : 16<sup>th</sup> April,2024

To,

**RALLIS INDIA LTD**

**MR. SRIKANT NAIR**

23rd Floor, Vios Tower,

New Cuffe Parade,

Off Eastern Freeway,

Wadala,

Mumbai

400037

Dear Sir,

Please find enclosed herewith the report in respect of Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements ) Regulations 2015 in relation to the year ended 31<sup>st</sup> March,2024..

Also please note NIL exchange or endorsement of calls/ allotment monies requests received during the said period.

Thanking You,



Sumalini Kulal

Operations

[sumalini.kulal@linkintime.co.in](mailto:sumalini.kulal@linkintime.co.in)

**Certificate of Compliance Under Clause 40(9) Of  
SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015**

**(For the Period 01.04.2023 To 31.03.2024)**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated to transfer securities only in dematerialized form w.e.f. April 01, 2019. SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019.

Further, SEBI vide its circular dated September 07, 2020 had fixed March 31, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 02, 2020.

SEBI vide gazette notification dated January 24, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly I have examined and verified all relevant books, registers, forms, and documents of Rallis India Limited (CIN L36992MH1948PLC014083) having its Registered Office at 23rd Floor, Vios Tower, New Cuffe Parade, Off Eastern Freeway, Wadala, Mumbai 400037, maintained by Link Intime India Private Limited, C-101, 1<sup>st</sup> Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (W), Mumbai 400 083, Registrar and Share Transfer Agent [RTA] for the purpose of issuing certificate under



# Sonali V. Bhuta

Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by RTA, hereby certify that:

1. the Company has delivered during the year ended on March 31, 2024 share certificates / letters of confirmation as per SEBI's operational guidelines for execution of transmission of shares, deletion of name and change of name received during the period 01/04/2023 to 31/03/2024.

As informed to me, no requests for Transfers ,Transposition, Subdivision, exchange or endorsement of calls/allotment monies, consolidation, renewal and split of share certificates were received during the period 01/04/2023 to 31/03/2024.

2. Any other information, if applicable: - N.A.



**SONALI V. BHUTA**

**Mumbai, 16.04.2024**

**UDIN - F007451F000095836**

