

Ref/No/HDFCAMC/SE/2020-21/01

Date – April 17, 2020

National Stock Exchange of India Limited Exchange Plaza, Plot C/1, Block G, Bandra Kurla Complex, Bandra (East) Mumbai – 400051 Kind Attn: Head – Listing Department	BSE Limited Sir PJ Towers, Dalal Street, Mumbai – 400001 Kind Attn: Sr. General Manager – DCS Listing Department
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Dear Sir/Madam,

Sub: Certification in compliance with regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Please find enclosed herewith a certificate issued under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by S. S. Rauthan & Associates, Company Secretaries, for the period October 01, 2019 to March 31, 2020.

Thanking You,

Yours Faithfully,
For HDFC Asset Management Company Limited

Sylvia Furtado
Company Secretary
Encl: a/a

HDFC Asset Management Company Limited

A Joint Venture with Standard Life Investments

CIN: L65991MH1999PLC123027

Registered Office : "HDFC House", 2ndFloor, H.T. Parekh Marg, 165-166, Backbay Reclamation, Churchgate, Mumbai-400 020

Tel.: 022 - 6631 6333 Fax: 022 - 6658 0203 Website: www.hdfcfund.com email: shareholders.relations@hdfcfund.com



SS Surjan Singh Rauthan
B.Com., F.C.S.

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI
(LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED MARCH 31, 2020**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **HDFC Asset Management Company Limited ("The Company")** maintained by **KFin Technologies Private Limited** (Formerly known as **Karvy Fintech Private Limited**) (the "RTA") pertaining to transfer of equity shares of the Company for the period from October 1, 2019 to March 31, 2020 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, entered into by HDFC Asset Management Company Limited and based on the information provided by the Company and its RTA hereby certify that;

- A) During the period from October 1, 2019 to March 31, 2020 the Company has not received any request for transfer/transmission of equity shares.
- B) During the period from October 1, 2019 to March 31, 2020 the Company has not received any request for sub-division, consolidation, renewal or issuance of duplicate share certificates or endorsement of calls/allotment money.

For **S. S. Rauthan & Associates**
Company Secretaries

Firm Registration No: S1999MH026900


Surjan Singh Rauthan
Proprietor



FCS: 4807 C.P. No: 3233

UDIN: F004807B000161964

Date : April 16, 2020, Place : Mumbai

CELEBRATING
20th
ANNIVERSARY

Practicing Since 1999

703, Navjivan Commercial Premises Co-op. Soc. Ltd., Lamington Road, Mumbai - 400 008.
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