

KEN FINANCIAL SERVICES LIMITED

101, Shah Arcade, A Wing, Shah Arcade SRA CHS Ltd., Rani Sati Marg,
Near W. E. Highway, Malad (East), Mumbai - 400 097.
Ph. No. 022-28881720, Email: kenfsl@rediffmail.com, Website: ken-fin.com
(CIN- L65990MH1994PLC078898)

Date: 20th April, 2023

To,
BSE Limited
Department of Corporate Service
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai - 400 001.

Ref: **Security Code - 530547**

Sub: **Submission of Certificate under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2023.**

Dear Sir / Madam,

We are enclosing herewith Compliance Certificate under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2023.

Kindly take the same on your records.

Thanking you.

Yours faithfully,
For Ken Financial Services Limited

Shakti Singh Rathore
DIN: 09208373
Managing Director

Encl: as above



NITESH CHAUDHARY & ASSOCIATES
PRACTICING COMPANY SECRETARY

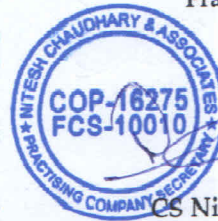
CERTIFICATE UNDER REGULATION 40(10) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED ON 31ST MARCH 2023

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Ken Financial Services Limited CIN-L65990MH1994PLC078898 Maintained by M/s Purva Sharegistry (India) Pvt. Limited (Registrar & Transfer Agent of the said company), Pertaining to transfer of equity shares of the company for the period from 01st April, 2022 to 31st March, 2023 for the purpose of issuing a Compliance Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, with the Stock Exchanges. Based on our examination, as well as information and explanation furnished to us by the Company, we hereby certify that, during the year ended on 31st March, 2023:

- A. The Company has not received any request for registration of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares in the Company, during the period beginning from 01st April, 2022 to 31st March, 2023 as per the MIS Report received from Company and its RTA for the purpose of Audit.
- B. Share Certificate(s) relating to the transfer of Shares received during the period from 01st April, 2022 to 31st March, 2023 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds (not applicable as the Company has not received any request of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares).

For Nitesh Chaudhary & Associates
Practicing Company Secretary



CS Nitesh Chaudhary, Proprietor
Mem. No FCS: 10010
COP No: 16275

Date: 19th April, 2023

UDIN: F010010E000143396

Office Address: 204, Chetak Chamber, 13-14 RNT Marg, Near Dawa Bazar, Indore - 452001
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