

# SW INVESTMENTS LIMITED

Regd. Office: 5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East) Mumbai 400057.  
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Email Id: cosec@sw1india.com.Website: www. sw1india.com

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SWIL/BSE/22/21-22

Date: 7<sup>th</sup> October, 2021

To  
Corporate Relation Department  
BSE Limited  
P. J. Tower, Dalal Street,  
Mumbai – 400 001  
Scrip Code: 503659

**Sub: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2021**

Dear Sir/Madam,

With reference to above, we are enclosing herewith the certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2021 received from Link Intime India Pvt. Ltd., the Registrar and Share Transfer Agent of the Company.

The copy of the same is enclosed herewith for your records.

Thanking You,

For SW Investments Limited

  
Sapna Patel  
Company Secretary



To,  
**Company Secretary**  
**Sw Investments Limited**  
**Sunteck Centre, 5th Floor, 37-40, Subhash Road,**  
**Near United Ink Factory, Vile Parle - East,**  
**Mumbai, Maharashtra, 400057**

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th September, 2021, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide its Circular No. SEBI/HO/MIRSD/RTAMB/P/CIR/2021/558 dated April 29, 2021 in view of the Covid-19 situation had provided relaxation in adherence to the prescribed timelines which included the processing of the demat requests by the Issuer Company/RTA. The relaxation was provided up to July 31, 2021. We have been informed that Registrars Association of India (RAIN) had vide their representations requested SEBI to consider and extend the aforesaid relaxation up to September 30, 2021. We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the relaxation in time lines provided in the aforesaid circular up to July 31, 2021 and within 15 days from August 1, 2021. We request you to kindly take note of the above in your records.

Note:- There were no request received from the shareholders for the quarter ended 30th September, 2021. This certificate is issued on the request of the company for compliance purpose.

Thanking You,

**Yours faithfully,**  
**For Link Intime India Pvt. Ltd**



**Sharmila Amin**  
**Associate Vice President-Corporate Registry**