



Empire Industries Limited

SEC:SHR:2020-2021(1023)

October 22, 2020

The Manager Listing Compliances
BSE Limited
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai - 400 023.

Company Scrip Code: 509525

Dear Sir,

**Re: Submission of Compliance Certificate under Regulation 40(9)
for the Half Year ended 30.09.2020**

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith the Compliance Certificate dated 21st October, 2020 from the Practicing Company Secretary, Ms. Deepa Gupta, for the period 1st April, 2020 to 30th September, 2020.

Kindly acknowledge receipt.

Thanking you,

**Yours faithfully,
For EMPIRE INDUSTRIES LIMITED**

**S. C. NANDA
Director-Finance & Company Secretary**

Encls:

CIN: L17120MH1900PLC000176
Regd. Office: Empire Complex, 414, Senapati Bapat Marg,
Lower Parel, Mumbai 400 013, India.
Tel.: 66555453 • Fax : 24939143
E-mail: email@empiresecretarial.com • Web : www.empiremumbai.com

Divisions

Empire Machine Tools MFTM • Empire Machine Tools MCAT • Vitrum Glass
Empire Industrial Equipment • Empire Vending (GRABBIT) • Empire Foods
The Empire Business Centre • Empire Industrial Centrum

DEEPA GUPTA
Company Secretary

Address:-512, Bawla Bldg. D-1, Muktai CHS, D. L. Marg, Chinchpokli, Mumbai 400 012.
Mob: 09322697460 Email: deepaguptacs@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR)
REGULATIONS, 2015


FOR THE HALF YEAR ENDED 30TH SEPTEMBER 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Empire Industries Limited** maintained by M/s. Bigshare Services Pvt Ltd, having its office at Bharat Tin Works Building 1st Floor Opp. Vasant Oasis, Next to Keys Hotel Marol Maroshi Road, Andheri East, Mumbai 400059, the Registrar and Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period from **1st April 2020 to 30th September 2020** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company we hereby certify that:

- A) Share Certificates relating to the transfer of Shares received during the period from **1st April 2020 to 30th September 2020** as entered in the Memorandum of Transfers have been issued within one month of the respective date of lodgement for transfer.
- B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgement.

Date: 21st October, 2020
Place: Mumbai




Deepa Gupta
Company Secretary
M. No. 20860
C.P. No. 8168

UDIN Number A020860B001026854