

Ref No.: LIL/REG40/SE/CS/2022-23

Date: 20.04.2022

<b>The National Stock Exchange of India Limited</b> Listing & Compliance Department Exchange Plaza, C-1 Block G, Bandra Kurla Complex, Bandra (E), Mumbai – 400051	<b>BSE Limited</b> Listing & Compliance Department Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400001
<b>Symbol: LUMAXIND</b>	<b>Security Code: 517206</b>

**Subject: Submission of Certificate in terms of Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31<sup>st</sup> March, 2022**

**Ref: Certificate issued by Practicing Company Secretary under Regulation 40(9) of the SEBI (LODR) Regulations, 2015**

Dear Sir/Ma'am,

Please find enclosed herewith the Certificate issued by Mr. Maneesh Gupta, Practicing Company Secretary, New Delhi as required under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31<sup>st</sup> March, 2022.

You are requested to kindly take the same in your records.

Thanking you,

Yours faithfully,

**For LUMAX INDUSTRIES LIMITED**



**PANKAJ MAHENDRU  
COMPANY SECRETARY  
M.NO. A-28161**



Encl: as stated above

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED MARCH 31, 2022**

I have examined all the relevant documents including but not limited to Registers, Memorandum of transfer(s) and files relating to Lumax Industries Limited maintained by Kfin Technologies Limited (formerly known as Kfin Technologies Private Limited) pertaining to transfer of equity shares of the Company for the period from April 01, 2021 to March 31, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/ RTA (Kfin Technologies Limited), I hereby certify that:

- A) During the period April 01, 2021 to March 31, 2022 there were no cases received relating to Transfer including relodgement request, hence compliance of Regulation 40(9) with respect to issuance of share certificates in physical form did not arise for transfer (relodgement) of equity shares.
- B) Share Certificates/Letter of Confirmation(s) in respect of requests received during the period April 01, 2021 to March 31, 2022 for issue of duplicate, exchange, split, consolidation, renewal or endorsement of calls/ allotment monies have been issued within One month of lodgment/relodgement provided all required documents are in order.

Signature:

*Maneesh Gupta*



Name: Maneesh Gupta

CP No: 2945

Date: April 18, 2022

Place: New Delhi

UDIN: F004982D000149027