

12<sup>th</sup> October, 2020

The Manager,  
Listing Department,  
National Stock Exchange of India Ltd,  
Exchange Plaza,  
Plot No. – C – 1, G Block,  
Bandra – Kurla Complex,  
Bandra (East),  
Mumbai – 400051

The General Manager,  
Department of Corporate Services,  
BSE Ltd.,  
1<sup>st</sup> Floor, New Trading Ring,  
Rotunda Building,  
P.J. Towers,  
Dalal Street, Fort,  
Mumbai – 400001

The Secretary,  
The Calcutta Stock Exchange Ltd,  
7, Lyons Range,  
Kolkata – 700001

Dear Sir,

**Sub: Compliance under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Sub Regulation 10 of Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a Compliance Certificate issued by a Company Secretary in practice for the half year ended 30<sup>th</sup> September, 2020.

Kindly acknowledge the receipt of the same.

Yours faithfully,

For **PHILLIPS CARBON BLACK LIMITED**

  
K. Mukherjee

Company Secretary and Chief Legal Officer

**Encl: As above**

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smguptaandco@gmail.com  
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**S. M. GUPTA & CO.**  
**COMPANY SECRETARIES**  
**P-15 BENTINCK STREET, CALCUTTA-700 001**

Ref. No.....

Dated.....

**CERTIFICATE**  
**FOR THE HALF YEAR ENDED 30.09.2020**

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. Phillips Carbon Black Limited (CIN: L23109WB1960PLC024602)** maintained by them and their Share Transfer Agents M/s. Link Intime India Pvt. Limited pertaining to transfer of equity shares of the company for the period from **01.04.2020** to **30.09.2020** for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification including by way of remote audit, we hereby certify that the Company has generally issued during the above half year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases) / Transmission cases / Name Deletion cases received during the period from **01.04.2020** to **30.09.2020** as entered in the Register of Transfers within thirty days from respective date of lodgment of such deed excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgment. – *No such requests were received during the above half year.*

The Certificate is being issued under the conditions of work from home due to COVID-19 with limited resources available to us.

Place : Kolkata  
Date : 09.10.2020

Signature :



Name : S.M.GUPTA

Membership No : FCS-896

C. P. Number : 2053

UDIN : **F000896B000900784**