

KPL/2019-20/BSE
16.10.2019



KOTHARI

PRODUCTS LIMITED

Bombay Stock Exchange Ltd. Phiroze Jeejeebhoy Towers 25th Floor, Dalal Street MUMBAI - 400 001	National Stock Exchange Of India Ltd. Exchange Plaza 5th Floor Plot No.C/1, G Block Bandra Kurla Complex Bandra (E) MUMBAI - 400 051
Scrip Code : 530299	Scrip Code : KOTHARIPRO

Dear sir,

**Re : SUBMISSION OF COMPLIANCE CERTIFICATE UNDER
REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATION, 2015 (LISTING
REGULATIONS)**

With reference to the captioned subject we are attaching herewith a Compliance Certificate from a practising Company Secretary in respect of despatch of Share Certificate(s) after transfer of shares of our Company for the period of Six months ended 30th September, 2019.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully
for **KOTHARI PRODUCTS LTD.**



(RAJ KUMAR GUPTA)
CS & COMPLIANCE OFFICER

FCS - 3281

Address :

"Pan Parag House
24/19, The Mall,
Kanpur

Regd. Off. : " Pan Parag House", 24/19, The Mall, Kanpur - 208 001 (INDIA)

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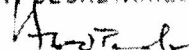
**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATION, 2015 FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019.**

I have examined all Share Transfer Deeds, Memorandum of Transfers; Registers, files and other documents relating to KOTHARI PRODUCTS LIMITED ("the Company"), having its registered office at Pan Parag House, 24/19, The Mall, Kanpur-208001 and paid up share capital of Rs. 29,84,38,650/- (Rupees Twenty Nine Crores Eighty Four Lakhs Thirty Eight Thousand Six Hundred and Fifty only) divided into 2,98,43,865 (Two Crores Ninety Eight Lakhs Forty Three Thousand Eight Hundred and Sixty Five only) equity shares of Rs. 10/- (Rupees Ten only) each, maintained by ALANKIT ASSIGNMENTS LIMITED, Registrar and Transfer Agent of the Company, pertaining to transfer of equity shares of the company for the period from April 01, 2019 to September 30, 2019 for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and pursuant to Listing Agreement entered into by, the Company with BSE Limited (BSE) and National Stock Exchange of India Limited (NSE) and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on September 30, 2019:

- (A) Share Certificate(s) relating to the transfer of Shares received during the period from April 01, 2019 to September 30, 2019 as entered in the Memorandum of Transfers have been issued within Fifteen Days of the date of lodgment for transfer excepting those rejected on technical grounds;
- (B) During the period under review, there was no instance of sub-division, consolidation, renewal, exchange of certificates and endorsement for payment of call/allotment money. However, there were case two cases of issue of duplicate share certificates;
- (C) During the period under review, no request for Remat was received by the Company;
- (D) The Company has not issued any debentures, hence the reporting of the same is not applicable.

**For ADESH TANDON AND ASSOCIATES
Company Secretaries**

For ADESH TANDON & ASSOCIATES
COMPANY SECRETARIES


PROPRIETOR
C. P. No. 1121

Adesh Tandon
Proprietor
FCS No. 2253
C. P. No. 1121

UDIN: F002253A000082399

Date: October 15, 2019
Place: Kanpur