

Date: 23.10.2020

To  
**The General Manager-Listing**  
Corporate Relationship Department  
The BSE Limited  
Phiroz Jeejeebhoy Tower  
Dalal Street, Mumbai-400001

**SUBJECT: SUBMISSION OF COMPLIANCE CERTIFICATE UNDER REGULATION 7(3) OF SEBI (LODR), 2015 FOR THE HALF YEAR ENDED ON 30th September, 2020**

**REF: GAUTAM GEMS LIMITED (Scrip Code: 540936)**

Dear Sir/ Madam,

With reference to above subject and pursuant to provision of regulation 7(3) of SEBI (Listing obligation and Disclosure Requirement) Regulations, 2015, please find the attached Compliance Certificate for the Half year ended on 30th September, 2020.

Please take the same on your record and oblige.

Thanking You

**For, GAUTAM GEMS LIMITED**



**GAUTAM PRAVINCHANDRA SHETH  
MANAGING DIRECTOR**



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**COMPLIANCE CERTIFICATE**

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES PRIVATE LIMITED (KFIN) is providing Registry and Share Transfer services to GAUTAM GEMS LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KFIN TECHNOLOGIES PRIVATE LIMITED

FOR GAUTAM GEMS LIMITED



**Rajitha Cholleti**  
Asst General Manager



**GAUTAM PRAVINCHANDRA SHETH**  
MANAGING DIRECTOR

Place: Hyderabad  
Date: 19/10/2020

**KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500

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