



SG Finserve Limited

SG FINSE ₹VE

April 10, 2023

To
The Manager
Listing Compliance Department
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai – 400 001

Scrip Code: 539199

Sub: Compliance Certificate pursuant to Regulation 40 (9) & (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015.

Dear Sir/Madam,

Pursuant to the Regulation 40(9) & 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, please find enclosed herewith compliance certificate for the Financial Year 31st March, 2023.

Submitted for your kind reference and records.

Thanking you
Yours faithfully,

**For SG Finserve Limited
(Formerly known as Moongipa Securities Limited)**

RITU
NAGPAL

Digitally signed by
RITU NAGPAL
Date: 2023.04.10
18:04:03 +05'30'

Ritu Nagpal
Compliance Officer
M. No. A38318

SG Finserve Limited (Formerly known as Moongipa Securities Limited)

(CIN: L74899DL1994PLC057941)

Regd. Office: 37, Hargobind Enclave, Vikas Marg, East Delhi, Delhi-110092, Ph.: 011-41450121

E-mail: compliance@sgfinserve.com, Website: www.moongipa.net

CERTIFICATE UNDER REGULATIONS 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31st MARCH, 2023

I have examined the necessary registers, files and other relevant documents relating to **SG Finserve Limited (Formerly known as Moongipa Securities Limited)** ("the Company"), bearing **CIN: L74899DL1994PLC057941** having its registered office at 37, Hargobind Enclave Vikas Marg, Delhi-110092 maintained by Company's Registrar and Transfer Agent- **Skyline Financial Services Pvt. Ltd. (RTA)** having its registered office at D-153A, 1st Floor, Okhla Industrial Area, Phase-I, New Delhi-110020 pertaining to transfer of equity shares of the company for the period from **1st April, 2022 to 31st March, 2023**, for the purpose of issuing a Certificate as per Regulations 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015.

On the basis of my examination and on the information/ explanation provided by the Company/ Registrar and Transfer Agent, I hereby certify that the Company has delivered during the year ended 31st March, 2023: -

- A) has not received any request for share transfer (relodgement) and accordingly, the compliance of Regulation 40(9) did not arise for transfer (relodgement) of equity shares; and
- B) has not received any request for issuance of duplicate share certificate(s), split, consolidation, sub-division and renewal exchange or endorsement of calls/allotment monies received during the financial year covered under the certificate; and
- C) Any other information, as applicable: **Not Applicable**

Place: New Delhi

Date: 10.04.2023

For Anjali Yadav & Associates
Company Secretaries

ANJALI Digitally signed
by ANJALI
YADAV
YADAV Date: 2023.04.10
17:54:54 +05'30'

Anjali Yadav

Proprietor

FCS No. 6628

C.P. No. 7257

UDIN: F006628E000051893