

DEPT : SECRETARIAL  
REF. No. : SEC/ST.EX.STT/ 102 /2021-22

November 30,2021

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| National Stock Exchange of India Ltd.,<br>Exchange Plaza, 5th Floor,<br>Plot No.C/1, G Block,<br>Bandra-Kurla Complex, Bandra (E),<br>Mumbai – 400 051.<br>SCRIP CODE: SOUTHBANK | BSE Ltd.<br>Department of Corporate Services (Listing),<br>First Floor, New Trading Wing,<br>Rotunda Building, P J Towers,<br>Dalal Street, Fort, Mumbai – 400 001.<br>SCRIP CODE: 532218 |
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Dear Sirs,

**Sub: Intimation as per Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

We are submitting herewith the reports on intimation and action taken by the Bank in Annexure A for violation of code of conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 read with SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.

Please take on record the intimations annexed to this letter pursuant to Schedule B read with Regulation 9 (1) of Regulations of SEBI (Prohibition of Insider Trading) Regulations, 2015 and other applicable circulars / guidelines issued by SEBI in this regard.

The aforesaid information is also being hosted on the website of the Bank [www.southindianbank.com](http://www.southindianbank.com).

Yours faithfully,



(JIMMY MATHEW)  
COMPANY SECRETARY

Encl: as above

**Report by  
The South Indian Bank Ltd  
for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading)  
Regulations, 2015.**

*[For listed company: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015*

| Sr No    | Particulars  | Details   |          |                   |          |      |                   |          |            |   |          |          |
|----------|--|---|----------|-------------------|----------|------|-------------------|----------|------------|---|----------|----------|
| 1        | Name of the listed company/<br>Intermediary/ Fiduciary   | <b>The South Indian Bank Ltd</b>  |          |                   |          |      |                   |          |            |   |          |          |
| 2        | <i>Please tick appropriate checkbox</i><br>Reporting in capacity of:<br><input type="checkbox"/> Listed Company ✓<br><input type="checkbox"/> Intermediary<br><input type="checkbox"/> Fiduciary | Listed Company  |          |                   |          |      |                   |          |            |   |          |          |
| 3        | <b>A. Details of Designated Person (DP)</b>  |   |          |                   |          |      |                   |          |            |   |          |          |
|          | i. Name of the DP  | Mr. Kevin Albert  |          |                   |          |      |                   |          |            |   |          |          |
|          | ii. PAN of the DP  | DIPIK4527Q  |          |                   |          |      |                   |          |            |   |          |          |
|          | iii. Designation of DP   | Manager (Scale II officer)  |          |                   |          |      |                   |          |            |   |          |          |
|          | iv. Functional Role of DP  | Manager (Scale II officer), Head Office, Thrissur   |          |                   |          |      |                   |          |            |   |          |          |
|          | v. Whether DP is Promoter or belongs to Promoter Group   | No  |          |                   |          |      |                   |          |            |   |          |          |
|          | <b>B. If Reporting is for immediate relative of DP</b>   |   |          |                   |          |      |                   |          |            |   |          |          |
|          | i. Name of the immediate relative of DP  | NA  |          |                   |          |      |                   |          |            |   |          |          |
|          | ii. PAN of the immediate relative of DP  | NA  |          |                   |          |      |                   |          |            |   |          |          |
|          | <b>C. Details of transaction(s)</b>  |   |          |                   |          |      |                   |          |            |   |          |          |
|          | i. Name of the scrip   | The South Indian Bank Limited<br>(NSE: SOUTHBANK/ BSE: 532218)  |          |                   |          |      |                   |          |            |   |          |          |
|          | ii. No of shares traded and value (Rs.)<br>(Date-wise)   | <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Nature</th> <th style="width: 15%;">Date</th> <th style="width: 15%;">Quantity</th> <th style="width: 15%;">Rate</th> <th style="width: 15%;">Transaction value</th> </tr> </thead> <tbody> <tr> <td>Purchase</td> <td>13.08.2021</td> <td style="text-align: center;">3</td> <td style="text-align: center;">Rs.10.35</td> <td style="text-align: center;">Rs.31.05</td> </tr> </tbody> </table> | Nature   | Date              | Quantity | Rate | Transaction value | Purchase | 13.08.2021 | 3 | Rs.10.35 | Rs.31.05 |
| Nature   | Date   | Quantity  | Rate     | Transaction value |          |      |                   |          |            |   |          |          |
| Purchase | 13.08.2021   | 3   | Rs.10.35 | Rs.31.05          |          |      |                   |          |            |   |          |          |
|          | <b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>  |   |          |                   |          |      |                   |          |            |   |          |          |
|          | i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015   | NA  |          |                   |          |      |                   |          |            |   |          |          |
|          | ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015  | NA  |          |                   |          |      |                   |          |            |   |          |          |



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| 4 | Details of violations observed under Code of Conduct                               | Purchase of 3 equity shares of face value of Re.1.00 each (Before completing 6 months period from previous sale of 10 shares of face value of Re.1.00 each during non-window closure period)   |
| 5 | Action taken by Listed company/ Intermediary/ Fiduciary                            | Issued warning letter as per the Banks's policy on code of conduct for prevention of insider trading.  |
| 6 | Reasons recorded in writing for taking action stated above                         | Being this was the first instance of violation by DP and the traded quantity was less and no trading profit has been made out of the transaction, warning letter was issued as per banks policy and SEBI guidelines as decided by the Board at its meeting held on 30.11.2021. |
| 7 | Details of the previous instances of violations, if any, since last financial year | Nil  |
| 8 | If any amount collected for Code of Conduct violation(s)                           |  |
|   | i. Mode of transfer to SEBI – IPEF (Online / Demand Draft)                         | NA   |
|   | ii. Details of transfer/payment  | NA   |
| 9 | Any other relevant information   |  |
|   |  | Nil  |

Yours faithfully,



Jimmy Mathew  
Compliance Officer & Company Secretary  
PAN: AOMPM8539G  
Email id: [jimmymathew@sib.co.in](mailto:jimmymathew@sib.co.in)



Thrissur  
30-11-2021