



RAVI KUMAR DISTILLERIES LIMITED

Regd. Office : C-9, C-10, Industrial Estate, 2nd Main Road, Thattanchavady, Puducherry - 605 009.

Phone : 0413 - 2244007, 2248888, 2248887 E-mail : cs@ravikumardistilleries.com

Website : www.ravikumardistilleries.com CIN No. : L51909PY1993PLC008493, GSTIN : 34AABCR4195D1ZJ

RKDL/2020-2021

12.09.2020

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| The BSE Ltd, Department of Corporate Services, Ground Floor, P.J.Tower, Dalal Street, Fort, Mumbai-400001. | The NSE Ltd, Exchange Plaza, Plot No.C/1, G Block, Bandra – Kurla Complex, Bandra (E), Mumbai-400051. |
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Ref: Ravi Kumar Distilleries Limited (Scrip Code 533294)

Dear Sir,

We wish to inform you that subsequent to the Show Cause Notice (SCN) under Rule 4(1) of SEBI (Procedure for Holding Inquiry and imposing penalties by Adjudicating Officer) Rules, 1995 of Securities and Exchange Board of India, Mumbai No. A & E/EAD-3/SBM-ASR/27501 dated 30.09.2016 under the provisions of Sections 15 (A)(a), 15HA, 15HB of the SEBI Act the violation of the relevant provisions of SEBI Act, SEBI (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003, SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 and SEBI (Merchant Bankers) Regulations 1992 by the Adjudicating Officer, SEBI, has passed the Adjudication Order No. Order/AA/AR/2020-21/8690-8721 dated 21.08.2020 with the following directions/observations:

"Having considered all the facts and circumstances of the case, the material available on record, the factors mentioned in section 15J of the SEBI Act and in exercise of the powers conferred upon me under section 15-I of the SEBI :

Act read with Rule 5 of the Adjudication Rules, I hereby impose following penalties on the noticees under sections 15HA and 15HB of the SEBI Act, as applicable.

| Sr. No. | Name of the noticee | Penal Provisions | Penalty Imposed |
|---------|----------------------------|------------------------------|---|
| 1. | Ravikumar Distilleries Ltd | Section 15HA of the SEBI Act | Rs. 1,00,00,000/- (Rs. One crore only) |
| | | Section 15HB of the SEBI Act | Rs. 1,00,00,000/- (Rs. One crore only) |
| 2. | R V Ravikumar | Section 15HA of the SEBI Act | Rs.25,00,000/- (Rs. Twenty five lakhs only) |





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| | | Section 15HB of the SEBI Act | Rs.6,00,000/- (Rs. Six lakhs only) |
| 3. | Mrs. R. Amirthavalli | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rs. Five lakhs only) |
| | | Section 15HB of the SEBI Act | Rs.1,00,000/- (Rs. One lakh only) |
| 4. | Mrs. S Vijayalakshmi | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rs. Five lakhs only) |
| | | Section 15HB of the SEBI Act | Rs.1,00,000/- (Rs. One lakh only) |
| 5. | Badrinath S. Gandhi | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rs. Five lakhs only) |
| | | Section 15HB of the SEBI Act | Rs.1,00,000/- (Rs. One lakh only) |
| 6. | Popatlal Kathariya | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rs. Five lakhs only) |
| | | Section 15HB of the SEBI Act | Rs.1,00,000/- (Rs. One lakh only) |
| 7. | K S M Rao | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rs. Five lakhs only) |
| | | Section 15HB of the SEBI Act | Rs.1,00,000/- (Rs. One lakh only) |
| 8. | Ashok Shetty | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rs. Five lakhs only) |
| | | Section 15HB of the SEBI Act | Rs.1,00,000/- (Rs. One lakh only) |
| 9. | Ravikumar Properties Pvt. Ltd, | Section 15HB of the SEBI Act | Rs.1,00,000/- (Rs. One lakh only) |
| 10. | Comfort Securities Ltd. | Section 15HA of the SEBI Act | Rs.5,00,00,000/- (Rupees Five crores only) |
| | | Section 15HB of the SEBI Act | Rs.1,00,00,000/- (Rupees One crore only) |
| 11. | Anil B. Agrawal | Section 15HA of the SEBI Act | Rs.5,00,00,000/- (Rupees Five crores only) |
| | | Section 15HB of the SEBI Act | Rs.1,00,00,000/- (Rupees One crore only) |
| 12. | Bharat Nanubhai Shiroya | Section 15HA of the | Rs.1,00,00,000/- |





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| | | SEBI Act | (Rupees One crore only) |
| | | Section 15HB of the SEBI Act | Rs.20,00,000/- (Rupees Twenty lakhs only) |
| 13. | Annu Anil Agrawal | Section 15HA of the SEBI Act | Rs.1,00,00,000/- (Rupees One crore only) |
| | | Section 15HB of the SEBI Act | Rs.20,00,000/- (Rupees Twenty lakhs only) |
| 14. | Jugal Chandrakant Thacker | Section 15HA of the SEBI Act | Rs.1,00,00,000/- (Rupees One crore only) |
| | | Section 15HB of the SEBI Act | Rs.20,00,000/- (Rupees Twenty lakhs only) |
| 15. | Amit Kumar Khemka | Section 15HA of the SEBI Act | Rs.1,00,00,000/- (Rupees One crore only) |
| | | Section 15HB of the SEBI Act | Rs.20,00,000/- (Rupees Twenty lakhs only) |
| 16. | Chandrakala Purohit | Section 15HA of the SEBI Act | Rs.1,00,00,000/- (Rupees One crore only) |
| | | Section 15HB of the SEBI Act | Rs.20,00,000/- (Rupees Twenty lakhs only) |
| 17. | Sarthak Vijlani | Section 15HB of the SEBI Act | Rs.1,00,000/- (Rupees One lakh only) |
| 18. | Comfort Intech Limited | Section 15HA of the SEBI Act | Rs.1,00,00,000/- (Rupees One crore only) |
| 19. | Radhasoami Securities Pvt. Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| 20. | BLC Trading and Agencies Pvt Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |





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| 21. | Fact Enterprise Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| 22. | Ranisati Dealer Pvt. Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| 23. | Gulistan Vanijya Pvt Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| 24. | Albright Electricals Pvt. Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| 25. | Vibhuti Multi Trade Pvt. Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| 26. | Grafton Merchant Pvt. Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| 27. | Sukusama Trading and Investments Pvt. Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| 28. | Heranba Finvest Services Pvt. Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| 29. | Gaungour Suppliers Pvt. Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| 30. | Suvidha Securities Pvt. Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| 31. | Padma Impex Pvt. Ltd. | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| 32. | Ramanand & Associates | Section 15HA of the SEBI Act | Rs.5,00,000/- (Rupees Five lakhs only) |
| | | TOTAL | Rs.22,39,00,000/- (Rupees Twenty Two Crores Thirty Nine Lakhs Only) |





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The above Order of SEBI is available on the website of SEBI, the weblink for Order is https://www.sebi.gov.in/enforcement/orders/aug-2020/adjudication-order-in-the-matter-of-ravi-kumar-distilleries-ltd_47376.html and the company's website at www.ravikumardistilleries.com

Kindly take the same on your records.

Thanking you,

Yours faithfully,
For RAVI KUMAR DISTILLERIES LIMITED

R.V. RAVIKUMAR
Managing Director
DIN: 00336646

