

April 06, 2023

| | |
|---|---|
| The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773 | The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC |
|---|---|

Dear Sir/Madam,

Sub:- Submission of Compliance Certificate pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed captioned certificate, for the period from April 01, 2022 to March 31, 2023 (both days inclusive), counter signed by the authorised representative of Link Intime India Private Limited, Registrar & Share Transfer Agent of the Company.

Kindly take the same on record and oblige.

Thanking You,
Yours faithfully,

For IIFL Securities Limited

Meghal Shah
Company Secretary
Email: secretarial@iifl.com

Encl: as above

IIFL Securities Limited

Corporate Identity Number: **L99999MH1996PLC132983**

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

Tel: (91-22) 6272 7000 • Fax: (91-22) 2580 6654 • E-mail: secretarial@iifl.com • Website: www.iiflsecurities.com

April 6, 2023

| | |
|---|---|
| The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773 | The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC |
|---|---|

Symbol: IIFLSEC

Dear Sirs,

Subject: Compliance Certificate - Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that all activities in relation to share transfer facility were maintained during the period from April 1, 2022 to March 31, 2023 (both days inclusive) by the Company's Registrar and Share Transfer Agent i.e., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000004058.

Thanking you.

For IIFL Securities Limited

Meghal
Abhishek
Shah

**Meghal Shah
Company Secretary**

For Link Intime India Private Limited

ASHOK
SOMAPPA
SHETTY

Authorized Representative

Digitally signed by ASHOK SOMAPPA SHETTY
DN: c=IN, o=Personal,
serialNumber=92852544AF355C0FD2928786
C18508826A98,
2.5.4.20=04A8801a468db3702323d6355b7db2
36995631045699864c38a3a1542519,
postalCode=421202, st=Maharashtra,
serialNumber=431A03C3F0F0C82070E26A0
4F4D14E4EACCC917A58F70E18A79DBB6AA
3A06, cn=ASHOK SOMAPPA SHETTY
Date: 2023.04.06 10:54:07 +05'30'

IIFL Securities Limited

Corporate Identity Number: **L99999MH1996PLC132983**

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604
Tel: (91-22) 6272 7000 • Fax: (91-22) 2580 6654 • E-mail: secretarial@iifl.com • Website: www.iiflsecurities.com