

May 25, 2021

**The National Stock Exchange of India Ltd.,**  
The Listing Department,  
“Exchange Plaza”,  
Bandra Kurla Complex, Bandra (East),  
Mumbai – 400 051

**BSE Ltd.**  
The Department of Corporate Services,  
Phiroz Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400 001

**Scrip Symbol: TCI**

**Scrip Code: 532349**

Dear Sir/Madam,

**Ref: SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019**  
**Sub: Annual Secretarial Compliance Report for the year ended March 31, 2021**

In terms of Clause 3(b) (iii) of SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019, we are submitting herewith the Annual Secretarial Compliance Report of the Company for the year ended March 31, 2021 issued by M/s. Sanjeev Bhatia & Associates, Company Secretaries.

This is for your information, records and meeting the disclosure requirements as per above circular.

Thanking you,

Yours faithfully,  
For Transport Corporation of India Ltd.

  
Archana Pandey  
Company Secretary & Compliance Officer



Encl: as above

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**Transport Corporation of India Limited**

**Corporate Office :** TCI House, 69, Institutional Area, Sector-32, Gurugram -122001, Haryana (India)

Ph. No.: +91 124-2381603, Fax.: +91 124-2381611 E-mail : corporate@tcil.com Web : www.tcil.com

**Regd. Office:-** Flat Nos. 306 & 307, I-8-271 to 273, Third Floor, Ashoka Bhoopal Chambers, S P Road, Secunderabad - 500 003 (Telangana)

Tel: +91 40 27840104 Fax: +91 40 27840163

CIN : L70109TG1995PLC019116



Ref.....

Date :.....

**ANNUAL SECRETARIAL COMPLIANCE REPORT FOR THE FINANCIAL YEAR ENDED MARCH 31, 2021**

[Pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015]

To,  
The Board of Directors,  
**Transport Corporation of India Limited**  
TCI House, Plot No. 69,  
Sector -32, Institutional Area, Gurugram-122001

**Subject: Annual Secretarial Compliance Report for the financial year ended on March 31, 2021**

I Sanjeev Bhatia, a Practicing Company Secretary, have examined:

- a) all the documents and records made available to me and explanation provided by **Transport Corporation of India Limited** ('listed entity');
- b) the filings/submissions made by the listed entity to the stock exchanges;
- c) website of the listed entity; and
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification;

And for the financial year 2020-21('Review Period') in respect of compliance with the provisions of:

- a) Securities and Exchange Board of India Act, 1992 ('SEBI Act') and the Regulations, circulars, guidelines issued thereunder; and
- b) Securities Contracts (Regulation) Act, 1956 ('SCRA'), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ('SEBI')

The specific Regulations as amended from time to time, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- f) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- g) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- h) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- i) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- j) Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018;

And based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, However in the absence of transaction relating to buyback of securities, issue of Non- Convertible and Redeemable Preference Shares and listed Debt securities during the review period, the compliance of the relevant regulations mentioned above do not arise.
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- c) No action was taken against the listed entity and/or its Promoters/Directors either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder. The Company does not have any material subsidiary as on March 31, 2021.
- d) No observation was made in the previous report for the financial year ended on March 31, 2020.
- e) I, further report the listed entity has re-appointed Statutory Auditor of the Company at its Annual General Meeting held on July 24, 2020 and duly complied with the terms of re-appointment as prescribed by the SEBI vide its Circular No.CIR/CFD/CMD1/114/2019 dated October 18, 2019.

Place: Gurugram  
Date: 24/05/2021

**For Sanjeev Bhatia & Associates**  
Company Secretaries

**SANJEEV  
KUMAR  
BHATIA**

Digitally signed by SANJEEV KUMAR BHATIA  
DN: c=IN, o=Personal, title=7623,  
pseudoym=fceeb55b884ce0b36d1410e0a1b  
516b960ce4071762b122e0b0a6d3a22e0f,  
postalCode=122001, st=Haryana,  
serialNumber=72597e5b75de0136a3338d4e0  
18c7f6b66c4e0978e0718e0c0f3e515919ab,  
cn=SANJEEV KUMAR BHATIA  
Date: 2021.05.24 17:34:34 +05'30'

**(Sanjeev Bhatia)**  
Proprietor

C.P. No. 3870

**UDIN: F005214C000363431**

