

7/GovtSE-15  
14<sup>th</sup> April, 2023

**BSE Limited**  
**Department of Corporate Service**  
**Phiroze Jeejeebhoy Towers**  
**Dalal Street**  
**Mumbai - 400 001**  
**Fax No. (022) 22722061, 41, 39, 37**

**Scrip Code: 516030**  
**Trading Symbol: YASHPPR**

**Sub: Certificate under Regulation 40(9) read with Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the financial year ended 31<sup>st</sup> March, 2023**

Dear Sir/Madam,

Kindly find attached herewith a Certificate issued by Amit Gupta & Associates, Practicing Company Secretaries, Lucknow, certifying compliance with Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, for the financial year ended 31<sup>st</sup> March, 2023.

Kindly take the above on your records.

Thanking you,

Yours faithfully,  
**for Yash Pakka Limited**

Sachin Kumar  
Srivastava  
Date: 2023.04.14  
12:19:27 +05'30'

Sachin Kumar Srivastava  
Company Secretary and Legal Head

**Encl:** As above



**AMIT GUPTA & ASSOCIATES**  
Practicing Company Secretaries

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**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED MARCH 31, 2023.**

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 01, 2019, Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019.

Accordingly, on the basis of examination of the relevant documents relating to shares of **YASH PAKKA LIMITED** ("the Company"), having its registered office at 2nd floor, 24/57, Birhana Road, Kanpur Uttar Pradesh-208002 and paid-up share capital of Rs. 38,07,85,000/- (Rupees Thirty-Eight Crores Seven Lakhs Eighty Five Thousands only) divided into 3,80,78,500 (Three Crores Eighty Lakhs Seventy Eight Thousand Five Hundred only) equity shares of Rs. 10/- (Rupees Ten only) each, maintained and provided electronically by Skyline Financial Services Private Ltd., Registrar and Transfer Agent (RTA), pertaining to the period from **April 01, 2022 to March 31, 2023** for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- A. As informed to us, the Company has delivered during the year ended on **March 31, 2023** share certificates relating to the transfer deeds lodged prior to April 01, 2019 and re-lodged thereafter, received during the period from **April 01, 2022 to March 31, 2023**, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgement. Further, there were no fresh requests relating to transfers received during the period from **April 01, 2022 to March 31, 2023**;
- B. In respect of requests relating to transmission /deletion of name for fully paid equity shares received during the year ended on **March 31, 2023**, the Company has delivered the share certificates within the time permitted by SEBI vide circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020. Further, the issue of duplicate share certificate and transposition of securities was made in the permitted time. We have been informed there was no request for sub-division (split), exchange or endorsement of calls/allotment monies received during the said period;

- C. During the period under review, the demat requests were processed by the Company within permitted time;
- D. The Company has not issued any debentures, hence the reporting of the same is not applicable;

**For Amit Gupta & Associates  
Company Secretaries**

**AMIT  
GUPTA**

Digitally signed by AMIT GUPTA  
DN: c=IN, postalCode=226023, st=UTTAR  
PRADESH, o=LUCKNOW, ou=Personal, title=0216,  
serialNumber=8a3b04c4039973c234e4d3319b374  
e251d46913eb881e15b802b458275f2940,  
pseudonym=021620220330110958325,  
2.5.4.20=0688905344c2402804357cc11469069a  
e778160dc38b316524f76865682995,  
email=AMITGUPTACS@GMAIL.COM, cn=AMIT  
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Date: 2023.04.14 00:38:20 +05'30'

**Amit Gupta  
Company Secretary  
C.P. No. 4682  
UDIN -F005478E000037686  
Date: 07.04.2023**