

ALOK INDUSTRIES LIMITED

Peninsula Business Park, Tower B, 2nd & 3rd Floor, Ganpatrao Kadam Marg, Lower Parel,
Mumbai - 400 013. Tel.: 91 22 6178 7000 Fax : 91 22 6178 7118



April 9, 2021

BSE Limited. Listing Department, P.J. Towers, Dalal Street, Mumbai - 400 001 Fax No.: 2272 2037 / 2272 2039 Scrip Code.521070	National Stock Exchange of India Ltd, Exchange Plaza, 5th Floor, Plot no. C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai-400 051 Fax No.: 2659 8237 / 2659 8238 Symbol. ALOKINDS
----------------------------------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Dear Sirs,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

We send herewith a certificate for half-year ended March 31, 2021, duly signed by Mr. Virendra G Bhatt, Company Secretary, pursuant to the provisions under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly acknowledge receipt of the same.

Thanking you,

For Alok Industries Limited

**Company Secretary and
Compliance Officer**

Membership No. F6188

Virendra Bhatt

Company Secretary

Office :

Office No. 03, A Wing, 9th Floor,
Pinnacle Corporate Park,
BKC CST Link Rd., MMRDA Area,
Bandra Kurla Complex,
Bandra East, Mumbai - 400 051.

Tel. : 022 - 2652 9367 / 68

Mobile : +91 - 98200 48670

E-mail : bhattvirendra1945@yahoo.co.in

Name of the Company : ALOK INDUSTRIES LIMITED

CIN : L17110DN1986PLC000334

**Registered Office : 17/5/1, 521/1, Village: Rakholi / Saily, Silvassa, Dadra
& Nagar Haveli - 396230**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR
ENDED 31ST MARCH, 2021**

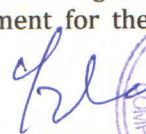
I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ALOK INDUSTRIES LIMITED** maintained by **Link Intime India Private Limited** pertaining to transfer of equity shares of the Company for the period from **1st October, 2021 to 31st March, 2021** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, by **ALOK INDUSTRIES LIMITED** and based on the information provided, hereby certify that during half year ended **31st March, 2021**:

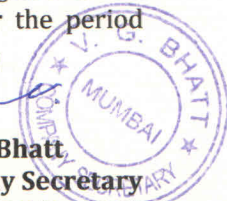
- A. Share Certificates relating to the Share Transmission forms received during the period from **01st October, 2020 to 31st March, 2021** as entered in the Memorandum of Transfers have been issued within thirty days from the respective date of lodgement.
- B. Physical Share certificates in respect of request for exchange of duplicate certificates have been issued within thirty days of lodgement.
- C. No requests were received by the Registrar and Share Transfer Agents of the Company for Transfer, sub-division, consolidation, replacement for the period from **01st October, 2020 to 31st March, 2021**.

Date: 9th April, 2021

Place: Mumbai

UDIN: A001157C000031473


Virendra G. Bhatt
Practicing Company Secretary
ACS No.: 1157 / COP No.: 124



Note:

Due to prevailing conditions owing to COVID-19, I am unable to verify the information physically; therefore I rely on the information as provided by the Registrar and Share Transfer Agent of the Company and the Company in electronic mode.