

**Secretarial compliance report of DECILLION FINANCE LIMITED for the
year ended 31.03.2019**

I, Rajesh Ghorawat have examined:

- (a) all the documents and records made available to us and explanation provided by **Decillion Finance Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March,2019 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined **to the extent of Acts/Provisions of the Acts applicable to Company**, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) **Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993**
and circulars/ guidelines issued thereunder;



and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practising Company Secretary
1.	LIST/COMP/01/2018-19 dt.3.4.18	Few Uploads were Not in Machine Readable Format	Company took necessary action.
2.	Reg. 55A SEBI (DP) Regulations, 1996 for Q.E Sep'18 as per BSE email dt.19.10.18	Membership no. of compliance officer not mentioned	It was unintentional mistake of practicing company secretary.
3.	Regulation 30 and SEBI circular dated September 09, 2015 titled "Continuous Disclosure Requirements for Listed Entities (email 14.6.18)	Brief Profile of director appointed not provided	Company rectified and uploaded the profile as referred in email.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from my examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practising Company Secretary, if any.
	Nil	Nil	Nil	Nil

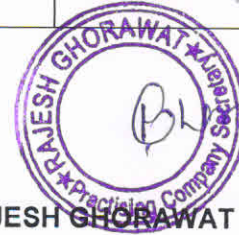
- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:



RAJESH GHORAWAT
PRACTISING COMPANY SECRETARY

68, R.K.CHATTERJEE ROAD,
KASBA BAKULTALA, FLOOR,
KOLKATA-700042
MOBILE- 9831189994
Email Id-rgadvisory18@gmail.com

Sr. No.	Observations of the Practising Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31.3.19 <i>(The years are to be mentioned)</i>	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
	Nil	Nil	Nil	Nil



Date: 17th May, 2019
Place: kolkata

RAJESH GHORAWAT

FCS No.:7226
COP:20897