



Innovating for
affordable healthcare

Shilpa Medicare Limited

Corporate & Admin Office :

“Shilpa House”, # 12-6-214/A-1, Hyderabad Road,
Raichur-584 135, Karnataka, India

Tel: +91-8532-238704, Fax: +91-8532-238876

Email: info@vbshilpa.com, Web: www.vbshilpa.com

CIN: L85110KA1987PLC008739

Dated 26th June, 2021

To
Corporate Relationship Department
BSE Limited,
1st Floor, Rotunda Building,
P.J. Towers, Dalal Street,
Mumbai – 400 001.

To
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor,
Plot No.C/1, G Block
Bandra Kurla Complex, Bandra (E)
MUMBAI – 400 051.

Dear Sir,

Sub: Annual Secretarial Compliance Report .

Ref: Regulation 24A of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015;

Scrip Code: BSE- 530549/ **Stock Symbol:** NSE – SHILPAMED

Pursuant to Regulation 24A of the SEBI (listing Obligations and Disclosure Requirements) Regulations, 2015, read with CIR/CFD/CMD1/27/2019 dated February 8, 2019, please find enclosed the Annual Secretarial Compliance Report of the Company for the financial year 2020-21 issued by M/s P.S. Rao & Associates, Practicing Company Secretaries.

This is for your information and Records.

For Shilpa Medicare Limited.

V V Krishna Chaitanya
Company Secretary and Compliance Officer





SECRETARIAL COMPLIANCE REPORT
OF
SHILPA MEDICARE LIMITED
FOR THE FINANCIAL YEAR ENDED 31st MARCH, 2021

We, **P.S. Rao & Associates**, Company Secretaries, have examined:

- (a) All the documents and records made available to us and the explanation provided by **Shilpa Medicare Limited**, having its registered office at #12-6-214/A-, Hyderabad Road, Raichur- 584135, Karnataka hereinafter referred to as "**the listed entity**";
- (b) The filings/ submissions made by the listed entity to the stock exchanges;
- (c) Website of the listed entity; and
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31st March, 2021** ("01.04.2020 to 31.03.2021"), in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars and guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), the Rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI")

The specific regulations whose provisions and the circulars/ guidelines issued thereunder have been examined include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018- *Not applicable to the listed entity during the audit period;*
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018- *Not applicable to the listed entity during the audit period;*
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014- *Not applicable to the listed entity during the audit period;*
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008- *Not applicable to the listed entity during the audit period;*
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013- *Not applicable to the listed entity during the audit period;*



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- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) The Depositories Act, 1996;
- (j) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018; and
- (k) The Securities and Exchange Board of India (Registrars to an issue and Share Transfer Agents) Regulations, 1993

and based on the above examination, we hereby report that, during the review period;

- a. The listed entity has complied with the provisions of the above regulations and circulars/ guidelines issued thereunder;
- b. The listed entity has maintained proper records under the provisions of the above regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records;
- c. Except as stated in the following table, there were no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

d.

Sr. No.	Particulars of Non-Compliance	Details of Action taken by the Regulators	Observations/ Remarks of the Practising Company Secretary on the action taken
1	N.A.	N.A.	N.A.

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- e. The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practising Company Secretary in the previous reports	Observations made in the Secretarial Compliance Report for the year ended 31.03.2020	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the action taken by the listed entity
1	NA	<p><i>In reply to BSE's letter, the Company, on 16.08.2019, wrote to NSE and BSE bringing to their notice that the concerned shareholder's complaint was duly replied by the Company's RTA, which filed an Action Taken Report in respect of the same on the SCORES portal on 19th July, 2019 stating that the said shares have been transferred in the year 2007 based on the Market Transfer Deed and that the matter is subjudice before CDRF- Vadodara Main.</i></p> <p><i>However, the Company paid the penalty levied on it by BSE on 20th August, 2019.</i></p>	<p><i>The Company Secretary has been vested with the responsibility of reviewing of all stakeholder complaints on weekly basis.</i></p>	<p><i>The Company has implemented a check system on regular basis to comply with the compliances on time.</i></p>

- f. **Others:** While submitting quarterly Corporate Governance Report, the category of Independent Directors erroneously selected as 'Non-Executive Independent Shareholder Director' instead of 'Non-Executive Independent Director'.

**For P.S. Rao & Associates
Company Secretaries**



D. S. Rao
Company Secretary
M. No. 12394
C.P. No. 14487

UDIN: A012394C000503115

Place: Hyderabad
Date : 23.06.2021