

Ref No.: LATL/REG40/SE/CS/2021-22

Date: 07.04.2022

The General Manager, Department of Corporate Services, BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400001 Security Code : 532796	The Manager- Listing Compliance The National Stock Exchange of India Limited 'Exchange Plaza' C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai-400051 Symbol: LUMAXTECH
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Subject: Submission of Certificate in terms of Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Financial Year ended March 31, 2022

Ref: Certificate issued by Practicing Company Secretary under Regulation 40(9) of the SEBI (LODR) Regulations, 2015

Dear Sir/Ma'am,

Please find enclosed herewith the Certificate received from Mr. Maneesh Gupta, Practicing Company Secretary, New Delhi as required under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2022.

You are requested to kindly take the same in your records.

Thanking you,

Yours faithfully,

For Lumax Auto Technologies Limited



Anil Tyagi
Company Secretary
M No. A-16825



Encl: as stated above

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE YEAR ENDED MARCH 31, 2022

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Lumax Auto Technologies Limited** ("the Company") maintained by **Bigshare Services Private Limited**, Registrar and Transfer Agent ("RTA") of the Company pertaining to transfer of equity shares of the Company for the period from April 01, 2021 to March 31, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/ RTA, I hereby certify that:

- A) The Company has not received any request for Share/Debt Certificate(s) relating to the transfers, transmission, duplicate, sub division, renewal, , exchange or endorsement of calls/allotment monies during the period **from April 01, 2021 to March 31, 2022.**
- B) The Company has not received any request for splitting of Share Certificates during the period **from April 01, 2021 to March 31, 2022.**

Accordingly, the Company was not required to dispatch the Share Certificates.


Signature:


Name: Maneesh Gupta
CP No: 2945

Date: April 07, 2022
Place: New Delhi
UDIN: **F004982D000038268**