

# ***DOLAT ALGOTECH LIMITED***

(FORMERLY KNOWN AS DOLAT INVESTMENTS LIMITED)

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Corporate Office: 301-308, Bhagwati House, Plot, A/19, Veera Desai, Andheri (West), Mumbai - 400 058  
TEL.: 91-22-2673 2602/03/04/65704167/68/69/70/71 FAX: 91-22-26732642,  
Website: [www.dolatalgotech.in](http://www.dolatalgotech.in) ; E-mail: [investor@dolatalgotech.in](mailto:investor@dolatalgotech.in)  
Corporate Identity Number: L67100GJ1983PLC126089

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06<sup>th</sup> September, 2023

To,  
Listing Compliance  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai 400 001.  
BSE Code: 505526

**Sub.: Business Responsibility and Sustainability Report for the Financial Year 2022-23**

Dear Sir/ Madam,

Pursuant to Regulation 34(2)(f) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the Business Responsibility and Sustainability Report for Financial Year 2022- 23, which also forms part of the Annual Report for FY 2022-23.

Please take the above on record and oblige.

Thanking you,

Yours Faithfully,

For **DOLAT ALGOTECH LIMITED**

**Sandeepkumar G. Bhanushali**  
**Company Secretary & Compliance Officer**

Place : Mumbai  
Encl: As Above

# DOLAT ALGOTECH LIMITED

## BUSINESS RESPONSIBILITY & SUSTAINABILITY REPORT 2022-23

### SECTION A: GENERAL DISCLOSURES:

#### I. DETAILS OF COMPANY

Sr. No.	Particulars	Company Information
1	Corporate Identity Number (CIN) of the Listed Entity	L67100GJ1983PLC126089
2	Name of the Listed Entity	Dolat Algotech Limited
3	Year of incorporation	1983
4	Registered office address	1401-1409, Dalal Street Commercial Co-op Soc Ltd, Block 53 (Bldg No.53E) Zone-5, Road-5E, Gift city, Gandhinagar - 382355, Gujarat
5	Corporate address	301-309, Bhagwati House, A/19, Veera Desai Road, Andheri (West), Mumbai-400058
6	E-mail	<a href="mailto:post@dolatalgotech.com">post@dolatalgotech.com</a>
7	Telephone	022 26732602
8	Website	<a href="http://www.dolatalgotech.in">www.dolatalgotech.in</a>
9	Financial year for which reporting is being done	2022-23
10	Name of the Stock Exchange(s) where shares are Listed	BSE Limited
11	Paid-up Capital	₹ 17,60,00,000/-
12	Name and contact details (telephone, e-mail address) of the person who may be contacted in case of any queries on the BRSR Report	Name: Mr. Pankaj D. Shah Managing Director Telephone: 022 26732602, E-mail: <a href="mailto:investor@dolatalgotech.com">investor@dolatalgotech.com</a>
13	Reporting boundary - Are the disclosures under this report made on a standalone basis (i.e. only for the entity) or on a consolidated basis (i.e. for the entity and all the entities which form a part of its consolidated financial statements, taken together)	The disclosures under this Report are made on standalone basis unless otherwise mentioned in the specific field.

#### II. PRODUCTS/ SERVICES

##### 14. Details of business activities (accounting for 90% of the turnover):

Sr. No	Description of Main Activity	Description of Business Activity	% of Turnover of the entity
1	Financial and insurance Service	Income from Shares & Securities trading, Liquid Fund, Dividend	100

##### 15. Products/ Services sold by the entity (accounting for 90% of the entity's Turnover):

Sr. No	Product/ Service	NIC Code	% of total Turnover contributed
1		NIL	

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## III. OPERATIONS

### 16. Number of locations where plants and/ or operations/ offices of the entity are situated:

Location	Number of plants	Number of offices	Total
National	Not Applicable	2	2
International	Not Applicable	0	0

### 17. Markets served by the entity:

#### a. Number of locations

Locations	Number
National (No. of States)	Not Applicable
International (No. of Countries)	Not Applicable

#### b. What is the contribution of exports as a percentage of the total turnover of the entity?

NIL

#### c. A brief on types of customers

Company does not have any customers. The Company is registered broker for capital market and futures & option segment with NSE and is engaged in proprietary trading of shares, securities, commodities and other financial products.

## IV. EMPLOYEES

### 18. Details as at the end of the financial year:

#### a. Employees and workers (including differently abled):

Sr. No.	Particulars	Total (A)	Male		Female	
			No. (B)	% (B / A)	No. (C)	% (C / A)
<b>EMPLOYEES</b>						
1.	Permanent (D)	38	32	84.21	6	15.79
2.	Other than Permanent (E)	0	0	0	0	0
3.	<b>Total employees (D + E)</b>	38	32	84.21	6	15.79
<b>WORKERS</b>						
4.	Permanent (F)	Not Applicable				
5.	Other than Permanent (G)					
6.	<b>Total workers (F + G)</b>					

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## b. Differently abled employees and workers:

Sr. No.	Particulars	Total (A)	Male		Female	
			No. (B)	% (B / A)	No. (C)	% (C / A)
<b>DIFFERENTLY ABLED EMPLOYEES</b>						
1.	Permanent (D)	Nil	Nil	Nil	Nil	Nil
2.	Other than Permanent (E)	Nil	Nil	Nil	Nil	Nil
3.	<b>Total differently abled employees (D + E)</b>	Nil	Nil	Nil	Nil	Nil
<b>DIFFERENTLY ABLED WORKERS</b>						
4.	Permanent (F)	Not Applicable				
5.	Other than Permanent (G)					
6.	<b>Total differently abled workers (F + G)</b>					

## 19. Participation/ Inclusion/ Representation of women:

Location	Total (A)	No. and percentage of Females	
		No. (B)	% (B / A)
Board of Directors	6	1	16.67
Key Management Personnel	2#	0	0

#Only Chief Financial Officer & Company Secretary are considered under the category of Key Management Personnel, as Managing Director and Whole-time Directors are covered under the category of the Board of Directors.

## 20. Turnover rate for permanent employees and workers

(Disclose trends for the past 3 years)

Particulars	FY 22-23			FY 21-22			FY 20-21		
	Male	Female	Total	Male	Female	Total	Male	Female	Total
Permanent Employees	11.11	15.38	11.94	59.09	-	47.27	25.53	-	22.22
Permanent Workers	Not Applicable								

## V. HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

### 21. Names of holding/ subsidiary/ associate companies/ joint ventures (as at March 31, 2023)

Sr. No.	Name of the holding/ subsidiary/ associate companies/ joint ventures	Indicate whether holding/ subsidiary/ associate/ joint venture	% of shares held by listed entity	Does the entity indicated at column A, participate in the Business Responsibility initiatives of the listed entity? (Yes/No)
<b>A)</b>	<b>Indian Subsidiaries</b>			
1.	M/S. DOLAT TRADECORP	Subsidiary Company	99	No

## VI. CSR DETAILS

### 22. (i) Whether CSR is applicable as per Section 135 of the Companies Act, 2013: Yes

(ii) Turnover (₹) – ₹1,537.98 Million

(iii) Net worth (₹) – ₹6,391.95 Million

## VII. TRANSPARENCY AND DISCLOSURES COMPLIANCES

### 23. Complaints/ Grievances on any of the principles (Principles 1 to 9) under the National Guidelines on Responsible Business Conduct:

Stakeholder group from whom complaint is received	Grievance Redressal Mechanism in Place (Yes/ No) (If Yes, then provide web-link for grievance redress policy)	FY 2022-23			FY 2021-22		
		Number of complaints filed during the year	Number of complaints pending resolution at close of the year	Remarks	Number of complaints filed during the year	Number of complaints pending resolution at close of the year	Remarks
Communities	Yes	Nil	Nil	Nil	Nil	Nil	Nil
Investors (other than shareholders)	Yes	Nil	Nil	Nil	Nil	Nil	Nil
Shareholders	Yes	Nil	Nil	Nil	Nil	Nil	Nil
Employees and workers	Yes	Nil	Nil	Nil	Nil	Nil	Nil
Customers	Yes	Nil	Nil	Nil	Nil	Nil	Nil
Value Chain Partners	Yes	Nil	Nil	Nil	Nil	Nil	Nil

All Mandatory policies are available on the website of the Company at <https://dolatalgotech.in/investor-relations>

### 24. Overview of the entity's material responsible business conduct issues:

Please indicate material responsible business conduct and sustainability issues pertaining to environmental and social matters that present a risk or an opportunity to your business, rationale for identifying the same, approach to adapt or mitigate the risk along-with its financial implications, as per the following format

Sr. No.	Material issue identified	Indicate whether risk or opportunity (R/ O)	Rationale for identifying the risk/ opportunity	In case of risk, approach to adapt or mitigate	Financial implications of the risk or opportunity (Indicate positive or negative implications)
1.	Data privacy/ Cyber / IT System Security and Resilience	Risk	The Company relies on its technology infrastructure. It is expected to implement policies, systems and practices to secure and protect data and build resilience against cyber attacks. Additionally, data breaches can have significant financial impacts	Technology Committee & Risk Management Committee of the Company keep track of cyber risk and its mitigation within the effective framework for cyber risk management that the Company has in place.  The Company is continuously working upon enhancing data privacy and cyber security to improve security posture. Also, all the activities and IT systems of the Company are monitored on regular basis and are also subject to audit on periodic basis to ensure its effectiveness.	<b>Negative:</b> - Legal, Reputational and financial risk
2.	Human Capital and Talent Management	Oppor- tunity	Human Capital is one of the key strategic imperative for the Company and we consistently invest in the growth & development and alignment of employees to the Company growth strategy.	Not applicable	<b>Positive:</b> Retention of key talent through various human resources proposition increases productivity.
3.	Corporate Social Responsibility	Opportunity	CSR Activities undertaken helps the Company to resonate with community sentiments and aspirations, which helps in its sustainable growth in the longer run.	Not applicable	<b>Positive:</b> Commitment to Social welfare increases strong relations with all our stakeholders.

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## SECTION B : MANAGEMENT AND PROCESS DISCLOSURES

This section is aimed at helping businesses demonstrate the structures, policies and processes put in place towards adopting the NGRBC Principles and Core Elements.

Sr. No.	Disclosure Questions	P 1	P 2	P 3	P 4	P 5	P 6	P 7	P 8	P 9
1	a. Whether your entity's policy/ policies cover each principle and its core elements of the NGRBCs. (Yes/No)	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
	b. Has the policy been approved by the Board? (Yes/No)	Yes								
	c. Web Link of the policies, if available	All Mandatory policies are available on the website of the Company i.e. <a href="https://dolatalgotech.in/investor-relations">https://dolatalgotech.in/investor-relations</a>								
2	Whether the entity has translated the policy into procedures. (Yes/No)	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
3.	Do the enlisted policies extend to your value chain partners? (Yes/No)	No								
4.	Name of the national and international codes/ certifications/ labels/ standards (e.g. Forest Stewardship Council, Fairtrade, Rainforest Alliance, Trustea) standards (e.g. SA 8000, OHSAS, ISO, BIS) adopted by your entity and mapped to each principle.	None								
5.	Specific commitments, goals and targets set by the entity with defined timelines, if any.	NIL								
6	Performance of the entity against the specific commitments, goals and targets along-with reasons in case the same are not met.	The Company has a defined mechanism in place and has authorized officers to review validity of the goals and targets periodically so that corrective actions or revised goals can be set.								

### Governance, leadership and oversight

#### 7. Statement by Director responsible for the Business Responsibility Report, highlighting ESG related challenges, targets and achievements (listed entity has flexibility regarding the placement of this disclosure):

Doing business in a responsible and sustainable manner is one of the key imperatives for us. We have strong governance policies, robust internal control systems, effective stakeholder communication and Human Capital Development. We take utmost care of adherence to environmental standards in the usage of finite resources and procurement of electronic equipment's. The Company has voluntarily adopted non-mandated best practices, to further enhance its corporate governance framework.

#### 8. Details of the highest authority responsible for implementation and oversight of the Business Responsibility Policy(ies):

Name: Mr Pankaj Dolatrai Shah (DIN 00005023)

Designation: Managing Director

Telephone Number: 022-26732602

E-Mail ID: [pdshah@dolatcapital.com](mailto:pdshah@dolatcapital.com)

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**9. Does the entity have a specified Committee of the Board/ Director responsible for decision making on sustainability related issues? (Yes/No). If yes, provide details.**

Yes, Corporate Social Responsibility Committee.

**10. Details of review of NGRBCs by the Company:**

Subject for Review	Indicate whether review was undertaken by the Director/ Committee of the Board/ Any other Committee	Frequency (Annually/ Half yearly/ Quarterly/ Any other - please specify)
Performance against above policies and follow-up action	Policies wherever stated have been approved by the Board. The policies are subject to periodic reviews/ updates or as and when required.	
Compliance with statutory requirements of relevance to the principles and rectification of any non-compliances	The Company complies with the statutory requirements as applicable. Review is done from time to time	

**11. Has the entity carried out independent assessment/ evaluation of the working of its policies by an external agency? (Yes/No). If yes, provide name of the agency.**

No. The policies are reviewed internally.

**12. If answer to question (1) above is "No" i.e. not all Principles are covered by a policy, reasons to be stated:**

Sr. No.	Questions	P 1	P 2	P 3	P 4	P 5	P 6	P 7	P 8	P 9
1	The entity does not consider the Principles material to its business (Yes/No)	Not Applicable								
2	The entity is not at a stage where it is in a position to formulate and implement the policies on specified principles (Yes/No)									
3	The entity does not have the financial or/ human and technical resources available for the task (Yes/No)									
4	It is planned to be done in the next financial year (Yes/No)									
5	Any other reason (please specify)									



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## SECTION C: PRINCIPLE WISE PERFORMANCE DISCLOSURE

**PRINCIPLE 1: Businesses should conduct and govern themselves with integrity, and in a manner that is Ethical, Transparent and Accountable**

### ESSENTIAL INDICATORS

**1. Percentage coverage by training and awareness programmes on any of the Principles during the financial year:**

Segment	Total Number of training and awareness programmes held	Topics/ Principles covered under the training and its impact	% age of persons in respective category covered by the awareness programmes
Board of Directors	During the financial year 2022-23, the Company provided various updates pertaining to the business, regulations, technology etc. to the Board of Directors / members of the various Committees.		100%
Key Managerial Personnel	The Company has a Code of Conduct, Anti-money laundering, Anti-bribery and Anti-corruption, conflict of interest, health & safety, prevention of sexual harassment etc.		100%
Employees other than BoDs and KMPs			100%
Workers	Not Applicable		

**2. Details of fines/ penalties/ punishment/ award/ compounding fees/ settlement amount paid in proceedings (by the entity or by the Directors/ KMPs) with the regulators/ law enforcement agencies/ judicial institutions, in the financial year, in the following format (Note: the entity shall make disclosures on the basis of materiality as specified in Regulation 30 of the SEBI (Listing Obligations and Disclosure Obligations) Regulations, 2015 and as disclosed on the entity's website):**

During the period under review, fine imposed by BSE Ltd for below instances:

- i. Pursuant to regulation 23(9) of SEBI (LODR) Regulations, 2015, Company need to submit disclosure of related party transactions on consolidated basis for the every six months with in 15 days of date of publication of its standalone and consolidated financial results. Company submitted the necessary disclosure with delay of 1 day and necessary fine as imposed by the BSE Ltd was paid by the company.
- ii. Non-Compliance under Regulation 17(1) of SEBI (LODR) Regulations, 2015 in respect of Composition of Board as number of Independent Directors on Board were less than 50% which happened subsequent to appointment of Mr. Harendra D. Shah (DIN 00012601) (Non-Executive – Non Independent Director) at AGM held on 30-09-2022.

Subsequent to resignation of Mrs. Neha P. Shah (DIN: 05262280) (Non-Executive – Non Independent Director) w.e.f. October 21, 2022 (close of business hours), Composition of Board of director of the Company was regularized and changed to 50% Non-Independent and 50 % Independent directors, in compliance to Regulation 17(1) of SEBI (LODR) Regulations, 2015. In this regard, the Company has paid necessary fine as imposed by the BSE Ltd.

**3. Of the instances disclosed in Question 2 above, details of the Appeal/ Revision preferred in cases where monetary or non-monetary action has been appealed:**

Case Details	Name of the regulatory/ enforcement agencies/ judicial institutions
Not Applicable	

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**4. Does the entity have an anti-corruption or anti-bribery policy? If yes, provide details in brief and if available, provide a web-link to the policy:**

Yes, the Company through a strong enforcement of its Code of Conduct, Anti Bribery Policy, Anti Corruption Policy and Vigil Mechanism and Whistle Blower Policy, ensures the business is conducted with ethics, transparency and accountability comparable to the best applicable standards. All Mandatory policies are available on the website of the Company at <https://dolatalgotech.in/investor-relations>

**5. Number of Directors/ KMPs/ employees/ workers against whom disciplinary action was taken by any law enforcement agency for the charges of bribery/ corruption:**

Case Details	FY 22-23	FY 21-22
Directors	Nil	Nil
KMPs	Nil	Nil
Employees	Nil	Nil
Workers	NA	NA

**6. Details of complaints with regard to conflict of interest:**

Particulars	FY 22-23		FY 21-22	
	Number	Remarks	Number	Remarks
Number of complaints received in relation to issues of Conflict of Interest of the Directors	Nil	NA	Nil	NA
Number of complaints received in relation to issues of Conflict of Interest of the KMPs	Nil	NA	Nil	NA

**7. Provide details of any corrective action taken or underway on issues related to fines / penalties / action taken by regulators/ law enforcement agencies/ judicial institutions, on cases of corruption and conflicts of interest:**

Not Applicable.

## LEADERSHIP INDICATORS

**1. Awareness programmes conducted for value chain partners on any of the Principles during the financial year:**

Total number of awareness programmes held	Topics/ principles covered under the training	% age of value chain partners covered (by value of business done with such partners) under the awareness programmes
None		

**2. Does the entity have processes in place to avoid/ manage conflict of interests involving members of the Board? (Yes/No) If Yes, provide details of the same:**

Yes, the Company has formulated Code of Conduct for its Directors and Senior Management to avoid clash of his/ her personal interest with the interest of the Company or his/ her ability to perform his/ her duties and responsibilities for the well-being of the Company. In adherence to the Company's Act, 2013, the Directors do not participate in any discussions on agenda items, in which they are interested parties to refrain from any conflict of interest situations.

## PRINCIPLE 2: Businesses should provide goods and services in a manner that is sustainable and safe ESSENTIAL INDICATORS

- Percentage of R&D and capital expenditure (capex) investments in specific technologies to improve the environmental and social impacts of product and processes to total R&D and capex investments made by the entity, respectively:**

Particulars	Current Financial Year	Previous Financial Year	Details of improvements in environmental and social impacts
R&D	Not applicable		
Capex			

- Does the entity have procedures in place for sustainable sourcing? (Yes/No)**  
Not applicable
  - If yes, what percentage of inputs were sourced sustainably?**  
Not applicable
- Describe the processes in place to safely reclaim your products for reusing, recycling and disposing at the end of life, for (a) Plastics (including packaging) (b) E-waste (c) Hazardous waste and (d) other waste:**  
  
As the nature of business of the Company, there is no hazardous waste and the only key waste products are plastic and e-waste. The Company is committed to waste reduction & minimization at all levels. The Company is in advance stage to implement the policy and process for reusing, recycling and disposing of E waste, plastic waste and other waste.
- Whether Extended Producer Responsibility (EPR) is applicable to the entity's activities (Yes/ No). If yes, whether the waste collection plan is in line with the Extended Producer Responsibility (EPR) plan submitted to Pollution Control Boards? If not, provide steps taken to address the same:**  
  
Not Applicable.

## LEADERSHIP INDICATORS

- Has the entity conducted Life Cycle Perspective/ Assessments (LCA) for any of its products (for manufacturing industry) or for its services (for service industry)? If yes, provide details in the following format:**  
  
The Company is engaged in proprietary trading of shares, securities, commodities and other financial products and is not involved in manufacturing or selling of tangible products. Life Cycle Perspective/ Assessments (LCA) of products is not applicable.
- If there are any significant social or environmental concerns and/ or risks arising from production or disposal of your products/ services, as identified in the Life Cycle Perspective/ Assessments (LCA) or through any other means, briefly describe the same along-with action taken to mitigate the same:**  
  
Not Applicable.
- Percentage of recycled or reused input material to total material (by value) used in production (for manufacturing industry) or providing services (for service industry):**  
  
Not Applicable.

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4. Of the products and packaging reclaimed at end of life of products, amount (in metric tonnes) reused, recycled, and safely disposed, as per the following format:

Not Applicable.

5. Reclaimed products and their packaging materials (as percentage of products sold) for each product category:

Not Applicable.

**PRINCIPLE 3: Businesses should respect and promote the well-being of all employees, including those in their value chains**

## ESSENTIAL INDICATORS

1. a. Details of measures for the well-being of employees:

Category	% of employees covered by										
	Total (A)	Health insurance		Accident insurance		Maternity benefits		Paternity Benefits		Day Care facilities	
		Number (B)	% (B/A)	Number (C)	% (C/A)	Number (D)	% (D/A)	Number (E)	% (E/A)	Number (F)	% (F/A)
<b>Permanent employees</b>											
Male	32	32	100.00	-	-	NA	NA	-	-	-	-
Female	6	6	100.00	-	-	6	100.00	-	-	-	-
<b>Total</b>	<b>38</b>	<b>38</b>	<b>100.00</b>	<b>-</b>	<b>-</b>	<b>6</b>	<b>26.80</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Other than Permanent employees</b>											
Male	-	-	-	-	-	-	-	-	-	-	-
Female	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

- b. Details of measures for the well-being of workers:

Category	% of workers covered by										
	Total (A)	Health insurance		Accident insurance		Maternity benefits		Paternity Benefits		Day Care facilities	
		Number (B)	% (B/A)	Number (C)	% (C/A)	Number (D)	% (D/A)	Number (E)	% (E/A)	Number (F)	% (F/A)
<b>Permanent workers</b>											
Male	Not Applicable										
Female											
<b>Total</b>											
<b>Other than Permanent workers</b>											
Male	Not Applicable										
Female											
<b>Total</b>											

## 2. Details of retirement benefits, for current & previous financial year:

Benefits	FY 2022-23			FY 2021-22		
	No. of employees covered as a % of total employees	No. of workers covered as a % of total workers	Deducted and deposited with the authority (Y/N/NA)	No. of employees covered as a % of total employees	No. of workers covered as a % of total workers	Deducted and deposited with the authority (Y/N/NA)
PF	26.32	Not Applicable	Y	-	Not Applicable	NA
Gratuity	100.00		Y	-		NA
ESI	2.63		Y	-		NA
Others – Post retirement benefits	NA		NA	NA		NA

## 3. Accessibility of workplaces

**Are the premises/ offices of the entity accessible to differently abled employees and workers, as per the requirements of the Rights of Persons with Disabilities Act, 2016? If not, whether any steps are being taken by the entity in this regard:**

Yes.

## 4. Does the entity have an Equal Opportunity Policy as per the Rights of Persons with Disabilities Act, 2016? If so, provide a web-link to the policy:

Company is focused on providing its employees a work environment that promotes diversity and inclusion, free of any discrimination that helps it develop and retain a highly motivated team. We focus on providing an enabling environment that fosters equality and diversity. The Company values and welcomes diversity and does not treat anybody differently based on their race, sex, caste, religion, disability, or age. Policy can be accessed at <https://dolatalgotech.in/investor-relations>

## 5. Return to work and Retention rates of permanent employees and workers that took parental leave:

Gender	Permanent employees		Permanent workers	
	Return to work rate	Retention rate	Return to work rate	Retention rate
Male	NA	NA	Not Applicable	
Female	NA	NA		

## 6. Is there a mechanism available to receive and redress grievances for the following categories of employees and worker? If yes, give details of the mechanism in brief:

Particulars	Yes/No (If Yes, then give details of the mechanism in brief)
Permanent Workers	Not Applicable
Other than Permanent Workers	Not Applicable
Permanent Employees Other than Permanent Employees	Yes, the Company has Vigil Mechanism/ Whistle Blower Policy for redressal of grievances of employees and to ensure that all communication channels are open and receptive, and all employees have an adequate opportunity to express their grievances. The Company has in place a policy for prevention of sexual harassment in accordance with the requirements of the Sexual Harassment of Women at Workplace (Prevention, Prohibition & Redressal) Act, 2013.

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## 7. Membership of employees and worker in association(s) or Unions recognised by the Listed Entity:

There is no employee association.

## 8. Details of training given to employees and workers:

Category	FY 2022-23					FY 2021-22				
	Total (A)	On Health and safety measures		On Skill Upgradation		Total (D)	On Health and safety measures		On Skill upgradation	
		No. (B)	% (B/A)	No. (C)	% (C / A)		No. (E)	% (E / D)	No. (F)	% (F / D)
<b>Employees</b>										
Male	32	32	100	17	53.13	22	22	100	7	31.82
Female	6	6	100	2	33.33	7	7	100	2	28.57
<b>Total</b>	<b>38</b>	<b>38</b>	<b>100</b>	<b>19</b>	<b>50.00</b>	<b>29</b>	<b>22</b>	<b>100</b>	<b>9</b>	<b>31.03</b>
<b>Workers</b>										
Male	Not Applicable									
Female										
<b>Total</b>										

## 9. Details of performance and career development reviews of employees and workers:

Category	FY 2022-23			FY 2021-22		
	Total (A)	No. (B)	% (B / A)	Total (C)	No. (D)	% (D / C)
<b>Employees</b>						
Male	32	20	62.50	22	22	100
Female	6	6	100.00	7	7	100
<b>Total</b>	<b>38</b>	<b>26</b>	<b>68.42</b>	<b>29</b>	<b>29</b>	<b>100</b>
<b>Workers</b>						
Male	Not Applicable					
Female						
<b>Total</b>						

## 10. Health and safety management system:

### a. Whether an occupational health and safety management system has been implemented by the entity? (Yes/No). If yes, the coverage such system?

Owing to the nature of the business, per se there are no occupational health and safety risks. However, to protect employees and visitors from fire and other emergencies, the Company conducts Periodic inspections of all electronic and electrical equipment's, fire extinguishers, etc. Company's Offices have smoke detectors and fire alarm systems. Company conducts Comprehensive Health check-up of the employees at regular intervals. All employees and their immediate families (i.e. employee, spouse and first two living child upto the age of 21 years) are eligible for hospitalisation coverage.

### b. What are the processes used to identify work-related hazards and assess risks on a routine and non-routine basis by the entity?

Due to the nature of the business, there are no critical occupational health and safety risks.

**c. Whether you have processes for workers to report the work related hazards and to remove themselves from such risks. (Y/N)**

Owing to the nature of the business of the Company, this question is also not applicable to us. Also, the Company does not have workers.

**d. Do the employees/ workers of the entity have access to non-occupational medical and healthcare services? (Yes/No)**

Yes, the Company is committed to the health and wellbeing of its employees and provides access to Medclaim Insurance.

**11. Details of safety related incidents, in the following format:**

Safety Incident/ Number	Category	FY 2022-23	FY 2021-22
Lost Time Injury Frequency Rate (LTIFR) (per one million-person hours worked)	Employees	Nil	Nil
	Workers		Not Applicable
Total recordable work-related injuries	Employees	Nil	Nil
	Workers		Not Applicable
No. of fatalities	Employees	Nil	Nil
	Workers		Not Applicable
High consequence work-related injury or ill-health (excluding fatalities)	Employees	Nil	Nil
	Workers		Not Applicable

**12. Describe the measures taken by the entity to ensure a safe and healthy work place:**

The Company ensures a safe and healthy workplace for comfort and wellbeing of all its employees. Company provide training on health and safety, installation of Fire alarm systems and smoke detectors fire extinguishers, etc, conduct regular inspections of all electrical and safety equipments The Company also provides Medclaim Insurance to all employees and their immediate families.

**13. Number of Complaints on the following made by employees and workers:**

Type	FY 2022-23			FY 2021-22		
	Filed during the year	Pending resolution at the end of year	Remarks	Filed during the year	Pending resolution at the end of year	Remarks
Working Conditions	NIL					
Health & Safety						

**14. Assessments for the year:**

Type	% of your plants and offices that were assessed (by entity or statutory authorities or third parties)
Health and Safety practices	100%
Working Conditions	100%

**15. Provide details of any corrective action taken or underway to address safety-related incidents (if any) and on significant risks/ concerns arising from assessments of health & safety practices and working conditions:**

There were no safety related incidents or significant risks/concerns related to health and safety.

## LEADERSHIP INDICATORS

1. Does the entity extend any life insurance or any compensatory package in the event of death of (A) Employees (Y/N) (B) Workers (Y/N):

- a. No
- b. Not applicable

2. Provide the measures undertaken by the entity to ensure that statutory dues have been deducted and deposited by the value chain partners:

No

3. Provide the number of employees/ workers having suffered high consequence work-related injury/ ill-health/ fatalities (as reported in Q11 of Essential Indicators above), who have been are rehabilitated and placed in suitable employment or whose family members have been placed in suitable employment:

Type	Total no. of affected employees/ workers		No. of employees/ workers that are rehabilitated and placed in suitable employment or whose family members have been placed in suitable employment	
	FY 2022-23	FY 2021-22	FY 2022-23	FY 2021-22
Employees	Nil	Nil	Nil	Nil
Workers	NA	NA	NA	NA

4. Does the entity provide transition assistance programs to facilitate continued employability and the management of career endings resulting from retirement or termination of employment? (Yes/No)

No.

5. Details on assessment of value chain partners:

None

Type	% of value chain partners (by value of business done with such partners) that were assessed
Health and Safety practices	-
Working Conditions	

6. Provide details of any corrective actions taken or underway to address significant risks/ concerns arising from assessments of health and safety practices and working conditions of value chain partners:

Not applicable.



## PRINCIPLE 4: Businesses should respect the interests of and be responsive to all its stakeholders

### ESSENTIAL INDICATORS

#### 1. Describe the processes for identifying key stakeholder groups of the entity:

The stakeholders are determined based on the significance of their impact on the business and the impact of the business on them.

#### 2. List stakeholder groups identified as key for your entity and the frequency of engagement with each stakeholder group:

Stakeholder Group	Whether identified as Vulnerable & Marginalized Group (Yes/No)	Channels of communication (E-mail, SMS, Newspaper, Pamphlets, Advertisement, Community Meetings, Notice Board, Website), Other	Frequency of engagement (Annually/ Half yearly/ Quarterly/ others - please specify)	Purpose and scope of engagement including key topics and concerns raised during such engagement
Shareholders and Investors	No	General Meeting, Email, website, communications through stock exchange etc	Quarterly, half yearly, Annually & Event based	To inform about the performance, major developments and other relevant updates regarding the Company and address their concerns & grievances
Employees	No	Notice Board, Emails, Annual Performance Review, Meetings, Trainings	Ongoing	Training, employee Performance, feedback
Bankers, Vendors	No	In person meetings, email, calls	Ongoing and need basis	To decide technical terms & conditions, Product & Service quality and support
Government And regulators	No	Multiple channels - physical and Digital.	Event based	Compliance, seeking clarification
Communities	Yes	Directly and through implementing agencies	Ongoing and need basis	To support the sustainable growth of communities through continuous engagement via CSR activities.

### LEADERSHIP INDICATORS

#### 1. Provide the processes for consultation between stakeholders and the Board on economic, environmental, and social topics or if consultation is delegated, how is feedback from such consultations provided to the Board:

The Board / designated committees through senior management interacts with various stakeholders on aforesaid subject matter.

#### 2. Whether stakeholder consultation is used to support the identification and management of environmental, and social topics (Yes/No). If so, provide details of instances as to how the inputs received from stakeholders on these topics were incorporated into policies and activities of the entity:

No

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## 3. Provide details of instances of engagement with, and actions taken to, address the concerns of vulnerable/ marginalized stakeholder groups:

As part of CSR activities, the Company provides the requisite financial support for the benefit of the underprivileged stakeholders

## PRINCIPLE 5: Businesses should respect and promote human rights

### ESSENTIAL INDICATORS

#### 1. Employees and workers who have been provided training on human rights issues and policy(ies) of the entity, in the following format:

Category	FY 2022-23			FY 2021-22		
	Total (A)	No. employees/ workers covered (B)	% (B/A)	Total (C)	No. employees/ workers covered (D)	% (D/C)
<b>Employees</b>						
Permanent	-	-	-	-	-	-
Other than permanent	-	-	-	-	-	-
<b>Total Employees</b>	-	-	-	-	-	-
<b>Workers</b>						
Permanent	Not Applicable					
Other than permanent	Not Applicable					
<b>Total Workers</b>	Not Applicable					

#### 2. Details of minimum wages paid to employees and workers, in the following format:

Category	FY 2022-23 Current Financial Year					FY 2021-22 Previous Financial Year				
	Total (A)	Equal to Minimum Wage		More than Minimum Wage		Total (D)	Equal to Minimum Wage		More than Minimum Wage	
		No (B)	% (B/A)	No. (C)	% (C/A)		No. (E)	% (E/D)	No. (F)	% (F/D)
<b>Employees</b>										
<b>Permanent</b>										
Male	32	Nil	NA	32	100.00	22	Nil	NA	22	100.00
Female	6	Nil	NA	6	100.00	7	Nil	NA	7	100.00
<b>Other than Permanent</b>										
Male	-	-	-	-	-	-	-	-	-	-
Female	-	-	-	-	-	-	-	-	-	-
<b>Workers</b>										
<b>Permanent</b>										
Male	Not Applicable									
Female	Not Applicable									
<b>Other Permanent</b>										
Male	Not Applicable									
Female	Not Applicable									

### 3. Details of remuneration/ salary/ wages, in the following format:

	Male		Female	
	Number	Median remuneration/ salary/ wages of respective category	Number	Median remuneration/ salary/ wages of respective category
Board of Directors (BoDs) <sup>(1)</sup>	1	60,00,000 p.a.	Nil	Nil
Key Managerial Personnel <sup>(2)</sup>	2	19,44,493 p.a.	Nil	Nil
Employees other than BoDs and KMPs	29	13,45,812 p.a.	6	16,58,529 p.a.
Workers	Not Applicable			

(1) Managing Director only.

(2) includes Chief Financial Officer and Company Secretary.

### 4. Do you have a focal point (Individual/ Committee) responsible for addressing human rights impacts or issues caused or contributed to by the business? (Yes/No)

Yes.

### 5. Describe the internal mechanisms in place to redress grievances related to human rights issues:

The Company has Internal committees for redressal of various grievances.

### 6. Number of Complaints on the following made by employees and workers:

NIL

### 7. Mechanisms to prevent adverse consequences to the complainant in discrimination and harassment cases:

There are committees and policies formed to handle grievances and complaints related to human rights issues under the Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013, Whistle Blower Policy etc..

### 8. Do human rights requirements form part of your business agreements and contracts? (Yes/No)

Yes

### 9. Assessments for the year:

Category	% of your plants and offices that were assessed (by entity or statutory authorities or third parties)
Child labour	The Company is in compliance with the laws, as applicable
Forced/ involuntary labour	
Sexual harassment	
Discrimination at workplace	
Wages	
Others - please specify	

### 10. Provide details of any corrective actions taken or underway to address significant risks/ concerns arising from the assessments at Question 9 above:

Not Applicable.

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## LEADERSHIP INDICATORS

1. **Details of a business process being modified/ introduced as a result of addressing human rights grievances/ complaints.**

Not Applicable.

2. **Details of the scope and coverage of any Human rights due-diligence conducted:**

None.

3. **Is the premise/ office of the entity accessible to differently abled visitors, as per the requirements of the Rights of Persons with Disabilities Act, 2016?**

No

4. **Details on assessment of value chain partners: None**

Category	% of value chain partners (by value of business done with such partners) that were assessed
Sexual Harassment	-
Discrimination at workplace	-
Child Labour	-
Forced Labour/ Involuntary Labour	-
Wages	-
Others – please specify	-

5. **Provide details of any corrective actions taken or underway to address significant risks/ concerns arising from the assessments at Question 4 above:**

No corrective action was necessitated.

## PRINCIPLE 6: Businesses should respect and make efforts to protect and restore the environment

### ESSENTIAL INDICATORS

1. **Details of total energy consumption (in Joules or multiples) and energy intensity, in the following format:**

Parameter	FY 2022-23	FY 2021-22
Total electricity consumption (A)	224.60 Giga Joules	189.44 Giga Joules
Total fuel consumption (B)	-	-
Energy consumption through other sources (C)	-	-
<b>Total energy consumption (A+B+C)</b>	224.60 Giga Joules	189.44 Giga Joules
Energy intensity per rupee of turnover (Total energy consumption in Giga Joules/ turnover in ₹ Millions)	0.15	0.08

**Indicate if any independent assessment/ evaluation/ assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency:**

No.

2. Does the entity have any sites/ facilities identified as designated consumers (DCs) under the Performance, Achieve and Trade (PAT) Scheme of the Government of India? (Y/N) If yes, disclose whether targets set under the PAT scheme have been achieved. In case targets have not been achieved, provide the remedial action taken, if any.

Not Applicable.

3. Provide details of the following disclosures related to water, in the following format:

Parameter	FY 2022-23	FY 2021-22
Water withdrawal by source (in kilolitres)		
(i) Surface water		Refer response below note
(ii) Groundwater		
(iii) Third party water		
(iv) Seawater/ desalinated water		
(v) Others		
Total volume of water withdrawal (in kilolitres) (i + ii + iii + iv + v)		
Total volume of water consumption (in kilolitres)		
Water intensity per rupee of turnover (Water consumed/ turnover)		
Water intensity (optional) – the relevant metric may be selected by the entity		

Indicate if any independent assessment/ evaluation/ assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency.

The Company's usage of water is restricted to human consumption purposes only. Taking the nature of business into consideration, the above prescribed table does not apply to the Company.

4. Has the entity implemented a mechanism for Zero Liquid Discharge? If yes, provide details of its coverage and implementation:

Not applicable.

5. Please provide details of air emissions (other than GHG emissions) by the entity, in the following format: Not applicable

Parameter	Please specify unit	FY 2022-23	FY 2021-22
NOx	-	-	-
Particulate matter (PM)	-	-	-
Persistent organic pollutants (POP)	-	-	-
Volatile organic compounds (VOC)	-	-	-
Hazardous Air Pollutants (HAP)	-	-	-
Others – please Specify	-	-	-

Indicate if any independent assessment/ evaluation/ assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency. - Not applicable

6. Provide details of greenhouse gas emissions (Scope 1 and Scope 2 emissions) & its intensity:

Not applicable

7. Does the entity have any project related to reducing Green House Gas emission? If Yes, then provide details:

No

**8. Provide details related to waste management by the entity, in the following format:**

Parameter	FY 2022-23	FY 2021-22
<b>Total Waste generated (in metric tonnes)</b>		
Plastic waste (A)	NEGLIGIBLE	Nil
E-waste (B)	NEGLIGIBLE	Nil
Bio-medical waste (C)	Not Applicable	Not Applicable
Construction and demolition waste (D)	Not Applicable	Not Applicable
Battery waste (E)	Not Applicable	Not Applicable
Radioactive waste (F)	Not Applicable	Not Applicable
Other Hazardous waste. Please specify, if any (G)	Not Applicable	Not Applicable
Other Non-hazardous waste generated (H)	Not Applicable	Not Applicable
<b>Total (A + B + C + D + E + F + G + H)</b>	Nil	Nil
<b>For each category of waste generated, total waste recovered through recycling, re-using or other recovery operations (in metric tonnes)</b>		
<b>Category of waste</b>		
(i) Recycled	Nil	Nil
(ii) Re-used	Nil	Nil
(iii) Other recovery operations	Nil	Nil
<b>Total</b>	Nil	Nil
<b>For each category of waste generated, total waste disposed by nature of disposal method (in metric tonnes)</b>		
<b>Category of waste</b>		
(i) Incineration	Nil	Nil
(ii) Landfilling	Nil	Nil
(iii) Other disposal operations	Nil	Nil
<b>Total</b>	Nil	Nil

Indicate if any independent assessment/ evaluation/ assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency. – Not applicable

**9. Briefly describe the waste management practices adopted in your establishments. Describe the strategy adopted by your company to reduce usage of hazardous and toxic chemicals in your products and processes and the practices adopted to manage such wastes:**

Not applicable

**10. If the entity has operations/ offices in/ around ecologically sensitive areas (such as national parks, wildlife sanctuaries, biosphere reserves, wetlands, biodiversity hotspots, forests, coastal regulation zones, etc.) where environmental approvals/ clearances are required, please specify details in the following format:**

Sr. No.	Location of operations/ offices	Type of operations	Whether the conditions of environmental approval/ clearance are being complied with? (Y/N) If no, the reasons thereof and corrective action taken, if any.
Not Applicable			

**11. Details of environmental impact assessments of projects undertaken by the entity based on applicable laws, in the current financial year:**

Name and brief details of project	EIA Notification No.	Date	Whether conducted by independent external agency (Yes/No)	Results communicated in public domain (Yes/No)	Relevant Web link
Not Applicable					

**12. Is the entity compliant with the applicable environmental law/ regulations/ guidelines in India; such as the Water (Prevention and Control of Pollution) Act, Air (Prevention and Control of Pollution) Act, Environment protection act and rules thereunder (Y/N). If not, provide details of all such non-compliances, in the following format:**

Sr. No.	Specify the law/ regulation/ guidelines which was not complied with	Provide details of the non-compliance	Any fines/ penalties/ action taken by regulatory agencies such as pollution control boards or by courts	Corrective action taken, if any
Based on the nature of its business, the Company complies with applicable environmental norms.				

## LEADERSHIP INDICATORS

**1. Provide break-up of the total energy consumed (in Joules or multiples) from renewable and non-renewable sources, in the following format:**

Parameter	FY 2022-23	FY 2022-23
<b>From renewable sources</b>		
Total electricity consumption (A)	NIL	NIL
Total fuel consumption (B)	NIL	NIL
Energy consumption through other sources (C)	NIL	NIL
<b>Total energy consumed from renewable sources (A+B+C)</b>	NIL	NIL
<b>From non-renewable sources</b>		
Total electricity consumption (D)	224.60 Giga Joules	189.44 Giga Joules
Total fuel consumption (E)	-	-
Energy consumption through other sources (F)	-	-
<b>Total energy consumed from non-renewable sources (D+E+F)</b>	224.60 Giga Joules	189.44 Giga Joules

Indicate if any independent assessment/ evaluation/ assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency.: NO

**2. Provide the following details related to water discharged:** Not applicable

**3. Water withdrawal, consumption and discharge in areas of water stress (in kilolitres):**

For each facility/ plant located in areas of water stress, provide the following information:

i) Name of the area

ii) Nature of operations

iii) Water withdrawal, consumption and discharge

Not Applicable.

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## 4. Please provide details of total Scope 3 emissions & its intensity.

Not applicable

## 5. With respect to the ecologically sensitive areas reported at Question 10 of Essential Indicators above, provide details of significant direct & indirect impact of the entity on biodiversity in such areas along with prevention and remediation activities:

Not applicable

## 6. If the entity has undertaken any specific initiatives or used innovative technology or solutions to improve resource efficiency, or reduce impact due to emissions/ effluent discharge/ waste generated, please provide details of the same as well as outcome of such initiatives:

Not Applicable

## 7. Does the entity have a business continuity and disaster management plan? Give details in 100 words/ web link:

Not Applicable

## 8. Disclose any significant adverse impact to the environment, arising from the value chain of the entity. What mitigation or adaptation measures have been taken by the entity in this regard?

Not Applicable.

## 9. Percentage of value chain partners (by value of business done with such partners) that were assessed for environmental impacts:

Not Applicable.

**PRINCIPLE 7: Businesses, when engaging in influencing public and regulatory policy, should do so in a manner that is responsible and transparent**

## ESSENTIAL INDICATORS

### 1. a. Number of affiliations with trade and industry chambers/ associations:

The Company was a member of 1 (One) trade and industry chambers/ associations during the FY 2022-23.

### b. List the top 10 trade and industry chambers/ associations (determined based on the total members of such body) the entity is a member of/ affiliated to:

Sr. No.	Name of the trade and industry chambers/ associations	Reach of trade and industry chambers/ associations (State/ National)
1	Bombay Stock Exchange Brokers' Forum (BBF)	National

### 2. Details of corrective action taken or underway on any issues related to anticompetitive conduct by the Company, based on adverse orders from regulatory authorities:

Name of authority	Brief of the case	Corrective action taken
Not Applicable		



## Leadership Indicators

### 1. Details of public policy positions advocated by the entity: Nil.

Sr. No.	Public policy advocated	Method resorted for such advocacy	Whether information available in public domain? (Yes/No)	Frequency of Review by Board (Annually/ Half yearly/ Quarterly/ Others – please specify)	Web link, if available
Not Applicable					

## PRINCIPLE 8: Businesses should promote inclusive growth and equitable development

### ESSENTIAL INDICATORS

### 1. Details of Social Impact Assessments (SIA) of projects undertaken by the entity based on applicable laws, in the current financial year:

Name and brief details of project	SIA Notification No.	Date of notification	Whether conducted by Independent external agency (Yes/No)	Results communicated in public domain (Yes/No)	Relevant Web link
Not Applicable					

### 2. Information on project(s) for which ongoing Rehabilitation and Resettlement (R&R) is being undertaken by your Company:

Name of Project for which R&R is ongoing	State	District	No of Project Affected Families (PAFs)	% of PAFs covered by R&R	Amounts paid to PAFs in the FY (In INR)
Not Applicable					

### 3. Describe the mechanisms to receive and redress grievances of the community:

Company have various mechanisms to receive and redress grievances of various stakeholders.

### 4. Percentage of input material (inputs to total inputs by value) sourced from suppliers:

Not applicable, as your company is not in manufacturing activity.

## LEADERSHIP INDICATORS

### 1. Provide details of actions taken to mitigate any negative social impacts identified in the Social Impact Assessments (Reference: Question 1 of Essential Indicators above): Not Applicable.

### 2. Provide the following information on CSR projects undertaken by your entity in designated aspirational districts as identified by government bodies: Not Applicable

### 3. (a) Do you have a preferential procurement policy where you give preference to purchase from suppliers comprising marginalized/ vulnerable groups?

Not Applicable

### (b) From which marginalised/ vulnerable groups do you procure?

Not Applicable

### (c) What percentage of total procurement (by value) does it constitute?

Not Applicable

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**4. Details of the benefits derived and shared from the intellectual properties owned or acquired by your entity (in the current financial year), based on traditional knowledge:**

Not applicable

**5. Details of corrective actions taken or underway, based on any adverse order in intellectual property related disputes wherein usage of traditional knowledge is involved:**

Not applicable

**6. Details of beneficiaries of CSR Projects:**

Your company's CSR initiatives focus on beneficiaries belonging to vulnerable and marginalized section, hence almost entire CSR spending and coverage numbers qualify to be included under beneficiaries from vulnerable and marginalized section of the society.

**PRINCIPLE 9: Businesses should engage with and provide value to their consumers in a responsible manner**

**ESSENTIAL INDICATORS**

**1. Describe the mechanisms in place to receive and respond to consumer complaints and feedback:**

The Company is engaged in proprietary trading of shares, securities and commodities and had no customers as such.

**2. Turnover of products and/ or services as a percentage of turnover from all products/ services that carry information about:**

Particulars	As a percentage to total turnover
Environmental and social parameters relevant to the product	Not Applicable
Safe and responsible usage, Recycling and/or safe disposal	

**3. Number of consumer complaints in respect of the following:** Not applicable

Type of Complaints	FY 2022-23		Remarks	FY 2021-22		Remarks
	Received during the year	Pending resolution at end of year		Received during the year	Pending resolution at end of year	
Data privacy	Nil	Nil	NA	Nil	Nil	NA
Advertising	Nil	Nil	NA	Nil	Nil	NA
Cyber-Security	Nil	Nil	NA	Nil	Nil	NA
Delivery of essential				Nil	Nil	NA
Services	Nil	Nil	NA	Nil	Nil	NA
Restrictive Trade Practices	Nil	Nil	NA	Nil	Nil	NA
Unfair Trade Practices	Nil	Nil	NA	Nil	Nil	NA
Other	Nil	Nil	NA	Nil	Nil	NA

## 4. Details of instances of product recalls on account of safety issues:

Particulars	Number	Reasons for recalls
Voluntary recalls		Not Applicable
Forced recalls		

## 5. Does the entity have a framework/ policy on cyber security and risks related to data privacy? (Yes/ No) If available, provide a web-link of the policy:

Yes, the Company has Cyber Security and Cyber Resilience Policy.

## 6. Provide details of any corrective actions taken or underway on issues relating to advertising, and delivery of essential services; cyber security and data privacy of customers; re-occurrence of instances of product recalls; penalty/ action taken by regulatory authorities on safety of products/ services:

Not Applicable.

## LEADERSHIP INDICATORS

### 1. Channels / platforms where information on products and services of the Company can be accessed (provide web link, if available).

The Company is engaged in proprietary trading of shares, securities and commodities and does not offer any products / service.

### 2. Steps taken to inform and educate consumers about safe and responsible usage of products and/ or services.

Not applicable

### 3. Mechanisms in place to inform consumers of any risk of disruption/ discontinuation of essential services:

Not applicable

### 4. Does the Company display product information on the product over and above what is mandated as per local laws? (Yes/ No/ Not Applicable) If yes, provide details in brief.

Not applicable.

**Did your Company carry out any survey with regard to consumer satisfaction relating to the major products/ services of the Company, significant locations of operation of the Company or the Company as a whole? (Yes/No).**

Not applicable.

### 5. Provide the following information relating to data breaches:

a. **Number of instances of data breaches along-with impact:** Not applicable.

b. **Percentage of data breaches involving personally identifiable information of customers:** Not applicable.