

16th April, 2021

To,

BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400 001
Scrip Code : 532755

National Stock Exchange of India Limited
Exchange Plaza, 5th floor,
Plot No. – C/1, G Block,
Bandra-Kurla Complex, Bandra (E)
Mumbai – 400 051
NSE Symbol : TECHM

Sub: Compliance Certificate in terms of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir,

With reference to the above, please find enclosed the Compliance Certificate in terms of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021.

Kindly acknowledge the receipt of the same.

Thanking you,

Yours Faithfully,
For Tech Mahindra Limited


Anil Khatri
Company Secretary



Encl.: As above.

Jayavant B. Bhavé

B.Com. LL.B. Dip. IRPM, FCS

J. B. Bhavé & Co
Company Secretaries


Office : Flat No. 9, Karan Aniket, Plot No. 37, Shri Varanasi Co-op Soc. Ltd
Off Bangalore-Mumbai Bye Pass, Behind Atul Nagar, Warje, Pune 411 058.
Ph. : +91 020 - 25204357/59, E-mail : jbbhave@gmail.com

Compliance Certificate in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31st March, 2021

I have examined all the Share transfer deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. TECH MAHINDRA LIMITED**, having its Registered office at Gateway Building, Apollo Bunder, Mumbai - 400001, and maintained by **M/s. LINK INTIME INDIA PRIVATE LIMITED**, Block No. 202, 2nd Floor, Akshay Complex, Near Ganesh Temple, Off Dhole Patil Road, Pune - 411 001 pertaining to transfer of Equity Shares of the Company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the listing agreement entered into by **M/s. TECH MAHINDRA LIMITED** with **BSE Limited, Mumbai**, I hereby certify for the half year ended on 31st March, 2021 that:

- A. All share certificates relating to the Transfer of Shares were received during the period from 1st October, 2020 to 31st March, 2021 as entered in the Memorandum of Transfer have been issued within 15 days of the date of lodgment of Transfer. Total 2 cases of Transfer of shares consisting of 2,256 shares were processed during the period.
- B.
- i. No requests for issue of duplicate share certificates were processed during the period.
- ii. Pursuant to provisions of Section 124 (6) of The Companies Act, 2013 read with Rule 3 (b) of Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016, 3,01,824 shares have been transferred to Investor Education and Protection Fund on 28th November, 2020.
- C. No case of Transmission of shares was processed during the period.
- D. No request for deletion of name was received during the period.

**FOR J B BHAVE & CO
COMPANY SECRETARIES**



**JAYAVANT B. BHAVE
PROPRIETOR
FCS: 4266 CP: 3068
UDIN: F004266C000109470**



**DATE: 16th April, 2021
PLACE: PUNE**

Jayavant B. Bhavé

B.Com. LL.B. Dip.IRPM,FCS

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- B.
- iii. No requests for issue of duplicate share certificates were processed during the period.
- iv. Pursuant to provisions of Section 124 (6) of The Companies Act, 2013 read with Rule 3 (b) of Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016, 3,01,824 shares have been transferred to Investor Education and Protection Fund on 28th November, 2020.
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