



UTTAM SUGAR MILLS LIMITED

Registered Office: Village Libberheri, Tehsil Roorkee, District Haridwar, Uttarakhand-247667
Corporate Office : A-2E, IIIrd Floor, C.M.A. Tower, Sector – 24, Noida – 201 301, Uttar Pradesh
Telephone : 0120-4152766, 0120 - 4193799 Email: gramarathnam_uttam@yahoo.com

October 13, 2020

**National Stock Exchange of India Ltd.
Listing Department**
"Exchange Plaza", Bandra-Kurla Complex,
Bandra (E), Mumbai – 400 051

Ref. :- Symbol – UTTAMSUGAR

**BSE Limited
Listing Department**
P.J. Tower,
Dalal Street,
Mumbai – 400 001
Ref. – Scrip Code – 532729

Sub : Certificate under Regulation 74 (5) of SEBI (Depositories & Participants) Regulations, 2018.

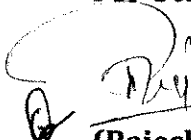
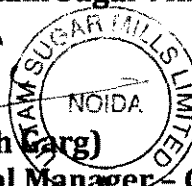
Dear Sirs,

Pursuant to the provisions of Regulation 74 (5) of SEBI (Depositories & Participants) Regulations, 2018, please find attached herewith a certificate received from M/s. Link Intime India Private Limited, Registrar and Share Transfer Agent of the company.

You are requested to take the information on your records.

Thanking you.

Yours faithfully,
For Uttam Sugar Mills Ltd.



(Rajesh Garg)
General Manager – Corporate & Legal

Encl. :- As above.

October 5, 2020

The Company Secretary
M/s. Uttam Sugar Mills Limited
A-11, Meerut Road, Industrial Area,
Ghaziabad 201003

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir,

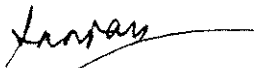
In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th September, 2020, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide their Circular Nos. SEBI/HO/MIRSD/DOP/CIR/P/2020/62 dated April 16, 2020; SEBI/HO/MIRSD/DOP/CIR/P/2020/112 dated June 30, 2020 and SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020 had extended the time line/period of exclusion (March 23, 2020 to September 30, 2020) for processing the demat requests by the Issuer Company/RTA. We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the time lines mentioned in the aforesaid circulars.

We request you to kindly take note of the above in your records.

Thanking You,

Yours faithfully,
For Link Intime India Pvt. Ltd



Authorised Signatory