



Date: 23/10/2019

The Head- Listing Compliance

**BSE Limited,**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
FortMumbai- 400001

**Security Code: 511611**

The Head- Listing Compliance

**National Stock Exchange of  
India Ltd.**  
Exchange Plaza,  
Plot no. C/1, G Block,  
Bandra-Kurla Complex  
Mumbai - 400051

**Stock Code: DCMFINSERV**

The Manager

**The Calcutta Stock  
Exchange Ltd.**  
7, Lyons Range, Murgighata,  
BBD Bagh, Kolkata, West  
Bengal- 700001

**Scrip Code: 014032**

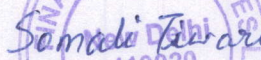
**Subject: Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir/Ma'am,

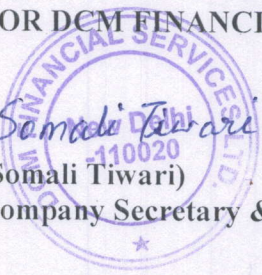
We are appending below the Compliance Certificate for the half year ended 30<sup>th</sup> September, 2019 received from our Registrar and Share Transfer Agent, MCS Share Transfer Agent Limited, as required under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take it on record.

FOR DCM FINANCIAL SERVICES LIMITED

  
(Somali Tiwari)

Company Secretary & Compliance Officer



**DCM FINANCIAL SERVICES LIMITED**

CIN:L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750

email ID: [info@dfsionline.com](mailto:info@dfsionline.com)

Website: [www.dfsionline.com](http://www.dfsionline.com)




**COMPLIANCE CERTIFICATE**

*[Pursuant to regulation 7 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015]*

We, the undersigned, hereby certify that all activities in relation to both physical and electronic share transfer facilities are maintained by Registrar and Share Transfer Agent i.e. MCS Share Transfer Agent Limited having their office at F- 65, Okhla Industrial Area, Phase-I, New Delhi – 110020. We confirm that MCS Share Transfer Agent Limited is registered with Securities and Exchange Board of India to act as Registrar and Share Transfer Agent.


Further, we wish to state that the Company i.e. DCM Financial Services Limited is adopting "April to March" as its Financial year and accordingly we are submitting the compliance certificate for half of the Financial year ended September 30, 2019 i.e. for the period from April 1, 2019 to September 30, 2019 pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

For DCM Financial Services Limited

  
Company Secretary  
Date: 05.10.2019  
Place: New Delhi



For MCS Share Transfer Agent Limited

  
P K Mittal  
Authorized Signatory

