



Ref:

Date: 13 January, 2022

To
Dept. of Corporate Services,
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai – 400 001

Re: SWADESHI INDUSTRIES AND LEASING LIMITED (the Company):

Scrip Code- 506863

ISIN: INE716M01034

Dear Sir,

Sub: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the Quarter ended 31<sup>st</sup> December, 2021.

In accordance with Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018, for the Quarter ended 31<sup>st</sup> December, 2021, based on the certificate received from Link Intime India Private Limited (RTA), which is enclosed herewith, we hereby confirm that within 15 days of receipt of the securities received for dematerialization that:

a) the securities comprised in the said Certificate(s) of Security have been listed on the Stock Exchanges; and

b) the said Certificate(s) after due verification have been mutilated and cancelled and the name of the depository has been substituted in our records as the registered owner.

We request you to kindly take the said information of record.

Thanking you,

Yours faithfully

For SWADESHI INDUSTRIES AND LEASING LIMITED

Gaurav Jain Z

Managing Director (DIN 06794973)

Encl.: As Above



To, Company Secretary **Swadeshi Industries Leasing Co. Limited** Shop No. 121, v Mall, Thakur Complex, Kandivili (E) 400101 Link Intime India Pvt. Ltd. CIN: U67190MH1999PTC118368

C-101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai - 400 083.

Tel.: +91 22 4918 6000 Fax: +91 22 4918 6060

E-mail: mumbai@linkintime.co.in Website: www.linkintime.co.in

06/05/2022

<u>Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.</u>

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31<sup>st</sup> December, 2021, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide its Circular No. SEBI/HO/MIRSD/RTAMB/P/CIR/2021/558 dated April 29, 2021 in view of the Covid-19 situation had provided relaxation in adherence to the prescribed timelines which included the processing of the demat requests by the Issuer Company/RTA. The relaxation was provided up to July 31, 2021. We have been informed that in view of the surge in volumes in Demat Requests, Update Requests, Investor correspondence etc. from July 2021 onwards, Registrars Association of India (RAIN) has requested SEBI for doubling the timelines for all activities with prescribed time limits for a limited period up to 31st March 2022. RAIN is yet to receive confirmation to the request from SEBI. We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within 15 days. We request you to kindly take note of the above in your records.

Thanking You,

Yours faithfully, For Link Intime India Pvt. Ltd

Suman Shetty

Assistant Vice President-Client Relations