

# UPENDRA SHUKLA

*B. Com., F C. S*

*Company Secretary*

504 , Navkar,  
Nandapatkar Road,  
Vile Parle East, Mumbai - 400 057  
Resi : 2611 8257  
Mob.: 98211 25846  
E-mail : ucshukla@rediffmail.com

The Company Secretary,  
ALICON CASTALLOY LIMITED,  
Gat No. 1426  
Village Shikrapur,  
Taluka Shirur,  
Dist- Pune

**Certificate under regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 for the year ended 31<sup>st</sup> March, 2022.**

I have examined all the relevant documents relating to Alicon Castalloy Limited, maintained by M/s. Universal Capital Securities Pvt. Ltd., Share Transfer Agents, pertaining to transfer of Equity Shares of the Company to the financial year ended 31<sup>st</sup> March, 2022 for the purpose of issuing a Certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 and based on the information provided by the Company and its Share Transfer Agents, I hereby certify that –

A) There was no case of transfer/ transmission or name deletion, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the financial year from 01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022.

B) Any other information: Nil

UDIN: F002727D000186537  
Date: 22/04/2022  
Place: Mumbai

(U.C. SHUKLA)  
COMPANY SECRETARY  
FCS: 2727/CP:1654