

## MONTE CARLO FASHIONS LIMITED

Regd. Office : B-XXIX-106, G.T. Road, Sherpur, Ludhiana - 141003 (Pb.) India.

Tel.: 91-161-5048610, 5048620, 5048630, 5048640 Fax : 91-161-5048650

MCFL/CS/2021-22

April 16, 2021

|   |  |
|---|--|
| National Stock Exchange of India Limited<br>Exchange Plaza, Plot No. C/1,<br>G-Block, Bandra-Kurla Complex,<br>Bandra (E), Mumbai-400051. | BSE Limited.<br>Phiroze Jeejeebhoy Tower,<br>Dalal Street,<br>Mumbai-400001. |
| Symbol: MONTECARLO  | Scrip Code: 538836   |

**Sub:** Certificate under Reg. 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31.03.2021

Dear Sir / Madam,


Pursuant to Reg. 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the certificate issued by M/s P.S. Dua & Associates, Company Secretaries, Ludhiana for the period from 01.10.2020 to 31.03.2021.

Kindly take this on record and acknowledge the receipt.

Thanking you,

Yours faithfully,

For MONTE CARLO FASHIONS LIMITED



ANKUR GAUBA  
COMPANY SECRETARY & COMPLIANCE OFFICER  
ICSI Membership No.: F10577



Encl. As Above.

**P. S. DUA & ASSOCIATES**  
**COMPANY SECRETARIES**

5SF, Sant Isher Singh Nagar, Pakhowal Road, Ludhiana - 141002 (Punjab), Tel. 0161 - 4623424  
E-Mail: cpsdua@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATION &  
DISCLOSURE REQUIRMENTS), 2015**

**FOR THE HALF YEAR ENDING 31.03.2021**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

I have examined all Records, Documents and Papers relating to **M/s Monte Carlo Fashions Limited** having CIN:- L51494PB2008PLC032059 (the company), maintained by **Link Intime India Private Limited** pertaining to transfer of equity shares of the company for the **half year ending 31st March, 2021** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing obligations & Disclosure Requirement) 2015, to the best of my knowledge and on the basis of the information provided to me and the records and documents examined by me, I hereby certify that:



**P. S. DUA & ASSOCIATES**  
**COMPANY SECRETARIES**

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5SF, Sant Isher Singh Nagar, Pakhowal Road, Ludhiana - 141002 (Punjab), Tel. 0161 - 4623424  
E-Mail: cspstdua@gmail.com

- a) The Company has not received any request for transfer of shares during the half year ended 31.03.2021 and hence delivery of Share Certificates within one month relating to the Share Transfer during the said half year does not arise.
- b) No request was received for issue of duplicate Share Certificate, sub-division, split, consolidation, sub-division or replacement during the said aforesaid period.

  
(P.S. Dua)



Company Secretary

(C.P. Number: 3934)

Place: - Ludhiana

Date: - 15.04.2021

UDIN: F004552C000098041