

# Alps Industries Limited

**REGD. / CORP. OFFICE**

Plot No 15-B, G. T. Road  
Chaudhary Morh, Ghaziabad  
Uttar Pradesh - 201001

ALPS/268/SE11/2023-24

January 08, 2024

<p>The General Manager Market Operations Deptt., <b>National Stock Exchange of India Ltd.,</b> Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), <b>Mumbai - 400 051</b> Ph - 91-22-2659 8101 - 8114 Email - cmlist@nse.co.in</p>	<p>The Relationship Manager Corporate Relationship Deptt., <b>Bombay Stock Exchange Limited,</b> 1<sup>ST</sup> Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, <b>Mumbai-400 001.</b> Ph - 022-22728995 Email - intern.erika@bseindia.com, corp.relations@bseindia.com</p>
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**SUB : SUBMISSION OF CORPORATE GOVERNANCE REPORT UNDER REGULATION 27(2) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE QUARTER ENDED ON DECEMBER 31, 2023.**

Dear Sir,

As required under the Regulation 27(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the following document:-

1. Quarterly Compliance Report on Corporate Governance for the Quarter ended on December 31, 2023.

We hope you will find the same in order.

Thanking you,

Yours faithfully,

For **ALPS INDUSTRIES LIMITED**

**(Ajay Gupta)**  
**Company Secretary**  
**& Asstt. Vice President - Legal**  
Encl. a/a.



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**Email: ajaygupta@alpsindustries.com**

**Website : www.alpsindustries.com**  
**CIN: L51109UP1972PLC003544**

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REGD. / CORP. OFFICE

Plot No 15-B, G. T. Road  
Chaudhary Morh, Ghaziabad  
Uttar Pradesh - 201001

## CORPORATE GOVERNANCE REPORT

1. Name of Listed Entity : **Alps Industries Limited**
2. Quarter ending : **December 31, 2023**

### I. Composition of Board of Directors

Title (Mr./Ms)	Name of the Director	PAN & DIN	Category (Chairperson / Executive / Non-Executive / Independent / Nominee)	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure *	Date of Birth	No. Of directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Sandeep Agarwal	00139439 & ACEPA4737G	Managing Director	01/01/1993 Rotational	01/04/2023 (Rotational for 3 years)	-	Not Applicable	24-08-1964	1	0	2	0
Mr.	Pramod Kumar Rajput	00597342 & AAAPR4916R	Non-Executive Non-Independent Director	11/12/2009 Rotational	12/08/2023 (Change in Status Category)	-	Not Applicable	06-06-1956	1	0	0	0
Mr.	Arun Kumar Bhattar	07957636 & ACTPB6456G	Independent Director	13/05/2022	-	-	19 Month 17 days	22.06.1968	1	1	1	0
Mr.	Arun Kumar Pal	09576141 & AGPPP1869F	Independent Director	13/05/2022	-	-	19 Month 17 days	16.07.1959	1	1	2	0

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Mr.	Vikas Lamba	09573001 & AADPL4319E	Independent Director	13/05/2022	-	-	19 Month 17 days	07.02.1963	1	1	2	2
Ms.	Shikha	09573028 & DARPS0935Q	Independent Director	13/05/2022	-	-	19 Month 17 days	20.11.1991	1	1	0	0
Whether Regular chairperson appointed: <b>No</b>												
Whether Chairperson is related to managing director or CEO: <b>No</b>												

## II. Composition of Committees

Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Date of Appointment	Date of Cessation
1. Audit Committee	No	Mr. Sandeep Agarwal	Managing Director & Member	01-01-1993	-
		Mr. Vikas Lamba	Chairperson of the committee & Independent Director-Member	13-05-2022	-
		Mr. Arun Kumar Bhattar	Independent Director & Member	13-05-2022	-
		Mr. Arun Kumar Pal	Independent Director & Member	13-05-2022	-
2. Nomination & Remuneration Committee	No	Mr. Vikas Lamba	Chairperson of the committee & Independent Director-Member	13-05-2022	-
		Mr. Arun Kumar Pal	Independent Director & Member	13-05-2022	-
		Ms. Shikha	Independent Director & Member	13-05-2022	-
3. Risk Management Committee(if applicable)	<b>Not Applicable</b>				
4. Stakeholders Relationship Committee'	No	Mr. Sandeep Agarwal	Managing Director & Member	01-01-1993	-
		Mr. Vikas Lamba	Chairperson of the committee & Independent Director-Member	13-05-2022	-
		Mr. Arun Kumar Pal	Independent Director & Member	13-05-2022	-

## III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of independent directors present*	Maximum gap between any two consecutive (in number of days)
12/08/2023	27/10/2023	Yes	6	4	75



#### IV. Meetings of Committees

##### 1. AUDIT COMMITTEE

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including independent director)	Number of independent directors present	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)
27/10/2023	Yes	4	4	3	12/08/2023	75

##### 2. STAKEHOLDER RELATIONSHIP COMMITTEE

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including independent director)	Number of independent directors present	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)
27/10/2023	Yes	3	3	2	12/08/2023	75

##### 3. NOMINATION AND REMUNERATION COMMITTEE

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including independent director)	Number of independent directors present	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)
-	-	-	-	-	12/08/2023	-

#### V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	YES

#### VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.
- The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - Audit Committee
  - Nomination & Remuneration Committee
  - Stakeholders Relationship Committee
  - Risk management committee (applicable to the top 100 listed entities): **Not Applicable**
  -
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.

The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.



5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here. **Report was placed before the board and adopted by the board.**



**Ajay Gupta**  
**Company Secretary &**  
**Asstt. Vice President-Legal**

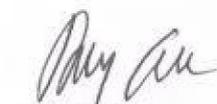


Date: 08.01.2024  
Place: Ghaziabad

1. Name of the Listed Entity: **Alps Industries Limited**
2. Quarter Ending: **December 31, 2023**

Details of Cyber Security Incidence			
Whether as per Regulation 27(2) (ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No	
Date of the event	-	Brief details of the event	-

For Alps Industries Limited



**Ajay Gupta**  
Company Secretary &  
Asstt. Vice President-Legal



Date: 08.01.2024  
Place: Ghaziabad