



Refer: MSL /NSE/

20 April, 2023

BSE Limited
25th Floor,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai 400 001
Scrip Code: **523371**

National Stock Exchange of India Ltd
Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex,
Bandra (E),
Mumbai 400 051
Scrip Code: **MAWANASUG**

Sub : Submission of Certificate under Regulation 40 (10) of SEBI (Listing Obligations and Disclosures Requirements) Regulations 2015

Dear Sir,

As required under Regulation 40(10) of SEBI (Listing Obligations and Disclosures Requirements) Regulations 2015, we are submitting herewith a Certificate obtained from M/s Nirbhay Kumar & Associates, the Practicing Company Secretaries for the Financial Year ended on 31.03.2023.

We trust you will find the above in order.

Thanking you,

Yours faithfully,

(ASHOK KUMAR SHUKLA)
COMPANY SECRETARY

Encl: as above.

MAWANA SUGARS LIMITED

CIN : L74100DL1961PLC003413

Corporate Office:

Plot No. 03, Institutional Area
Sector-32, Gurugram-122 001 (India)
T 91-124-4298000 F 91-124-4298300

Registered Office:

5th Floor, Kiri Mahal, 19, Rajendra Place
New Delhi-110125 (India)
T 91-11-25739103 F 91-11-25743659

E corporate@mawanasugars.com
www.mawanasugars.com





NIRBHAY KUMAR & ASSOCIATES COMPANY SECRETARIES

NIRBHAY KUMAR
L.L.B., ACS

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF
INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR
THE YEAR ENDED MARCH 31, 2023**

The Securities and Exchange Board of India vide gazette notification dated June 8, 2018, and vide its press release dated December 3, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 1, 2019. Further, SEBI vide its press release dated March 27, 2019, clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019.

Accordingly, on the basis of examination of the relevant documents relating to fully paid equity shares of Mawana Sugars Limited ('the Company') maintained Physically and provided electronically by Mas Services Limited, Registrar and Share Transfer Agents (RTA), pertaining to the year ended March 31, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that the Company has delivered during the year ended March 31, 2023:

- A) (i) there were no requests received for transfers, consolidation, renewal, exchange, or endorsement of calls/allotment monies during the financial year ended March 31, 2023.
- (ii) Letter of Confirmation, relating to the Transmission of shares, Change of Name, Deletion of Name, and issue of duplicate share certificates received during the financial year ended March 31, 2023, have been issued within the prescribed time from the respective date of lodgment except those rejected on the technical grounds.
- B) Any other information, if applicable: --- Nil

**For Nirbhay Kumar & Associates
Company Secretaries**

Nirbhay Kumar
20/04/2023

**Nirbhay Kumar
Practicing Company Secretary**



M. No. 11946

C. P. 7887

UDIN- F011946E00014937

Date – 20/04/2023

Place-New Delhi

**J-22, Flat No-11, Upper Ground Floor, Street No-5, Rama Park Road, Mohan Garden
Uttam Nagar, New Delhi-110059**

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