

Swan Energy Limited

(Formerly Swan Mills Limited)

6, Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai 400001.
Tel.: 022-4058 7300 • Fax : +91-22-4058 7360 • Email: swan@swan.co.in
CIN. L17100MH1909PLC000294

Swan/nse/bse

27th April, 2022

Dept. of Corporate Compliances,
National Stock Exchange Limited,
Exchange Plaza, Plot No. C/1, G Block,
Bandra –Kurla Complex, Bandra-East,
Mumbai – 400 051

Listing Department,
Bombay Stock Exchange Limited,
P.J. Tower, Dalal Street, Fort,
Mumbai – 400 001

Dear Sir,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the year ended 31st March, 2022

We are hereby submitting Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 received by the Company from Jignesh M. Pandya & Co. for the year ended 31st March, 2022.

Thanking you,

Yours faithfully,

For Swan Energy Limited



(Arun Agarwal)
Company Secretary





JIGNESH M. PANDYA & CO.
Company Secretaries

Admn. Office :
A-203, Court Chambers,
Opp. Moksh Plaza, S. V. Road,
Borivali (W), Mumbai - 400 092.

Tel. : 2808 4509
Mob. : 98190 65068
E-mail : jigneshpandyacs@gmail.com
jigneshpandyacs@rediffmail.com

Regd. Office : 205, Shashi CHS Ltd., Behind Raghunath Tower, Devidas Road, Borivali (W), Mumbai - 400 103.

The Board of Directors
Swan Energy Limited.
6, Feltham House, 2nd Floor,
10, J.N. Herdia Marg, Ballard Estate,
Mumbai 400 001

Dear Sir,

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED
31ST MARCH, 2022**

I have examined all relevant forms, books, register, documents and papers made available to me by **PurvaSharegistry (India) Pvt Ltd**, Registrars and Transfer Agents of **M/s. Swan Energy Limited**, for the purpose of issuing certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, and on the basis of the explanation given to us, I, hereby certify that the Company has delivered during the financial year ended on 31st March, 2022.

A) Share Certificates relating to the Share Transfer received during the period from **1st April, 2021 to 31st March, 2022** as entered in the Memorandum of Transfers, transmission/sub-division, deletion, duplicate, split, replacement and renewals have been issued within one month from the respective date of lodgment of each form excepting those rejected on technical grounds.

For **JIGNESH M PANDYA & CO.**,
Company Secretaries



UDIN: A007346D000094411
Date: 13th April, 2022

Proprietor
ACS - 7346, CP - 7318